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United States Patent [19]

Hastings

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[54] **METHOD FOR INSERTING NEW MACHINE INSTRUCTIONS INTO PREEXISTING MACHINE CODE TO MONITOR PREEXISTING MACHINE ACCESS TO MEMORY**

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[73] Assignee: **Pure Software Inc.**, Sunnyvale, Calif.

[*] Notice: The portion of the term of this patent subsequent to Mar. 9, 2010 has been disclaimed.

[21] Appl. No.: **970,315**

[22] Filed: **Nov. 2, 1992**

Related U.S. Application Data

[63] Continuation of Ser. No. 718,573, Jun. 21, 1991, Pat. No. 5,193,180.

[51] **Int. Cl.**[5] **G06F 11/00**

[52] **U.S. Cl.** **395/575**; 371/19; 395/375; 364/267.4; 364/DIG.1

[58] **Field of Search** 395/375, 575, 650; 371/16.5, 19, 29.1

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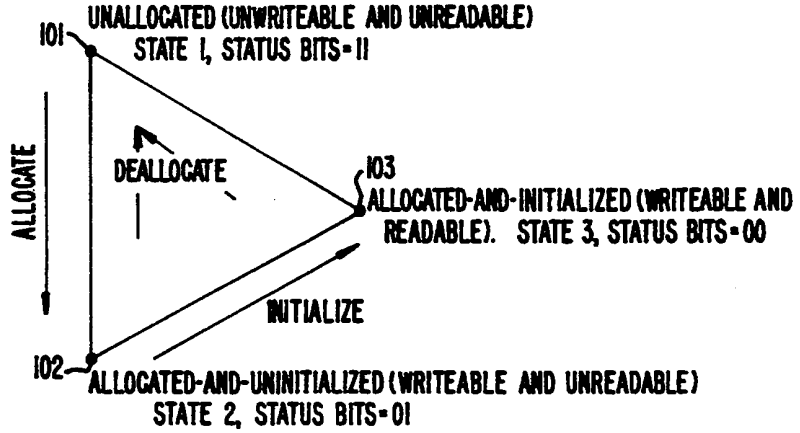
[57] **ABSTRACT**

An object code expansion program inserts new instructions and data between preexisting instructions and data of an object code file; offsets are modified to reflect new positions of the preexisting instructions and data. For each item of preexisting object code (instructions or data), the following steps are performed: making a new code block comprising any desired new instructions and the item, and storing it as new object code; tracking the location of the item and the new code block within the new object code; and tracking items that contain inter-item offsets. Then, each inter-item offset is updated using the new location of the item or new code block, as required. Finally, offsets in symbol tables and relocation structures are updated with the new location of the item.

This expansion program is used to add instructions to object code files of a second program, to monitor substantially all of the memory accesses of the second program. The added instructions establish and maintain a memory status array with entries for memory locations that are validly accessible by the second program; entries indicate the status of corresponding memory locations. The memory status array is used to check for the errors of writing to unallocated memory and reading from unallocated or uninitialized memory. Also, the data section of the object code files are expanded with extra dummy entries to aid in the detection of array bounds violations and similar data errors. Furthermore, watchpoints can be established for more comprehensive monitoring.

9 Claims, 8 Drawing Sheets

Microfiche Appendix Included
(2 Microfiche, 88 Pages)



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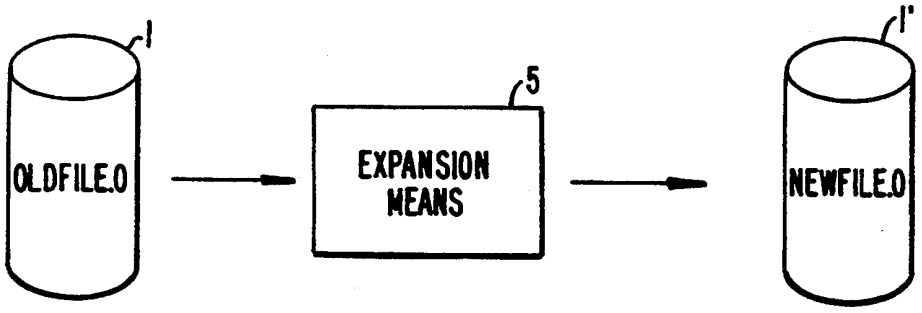
1
OLDFILE.O
5
EXPANSION MEANS
1'
NEWFILE.O

FIG. 1.

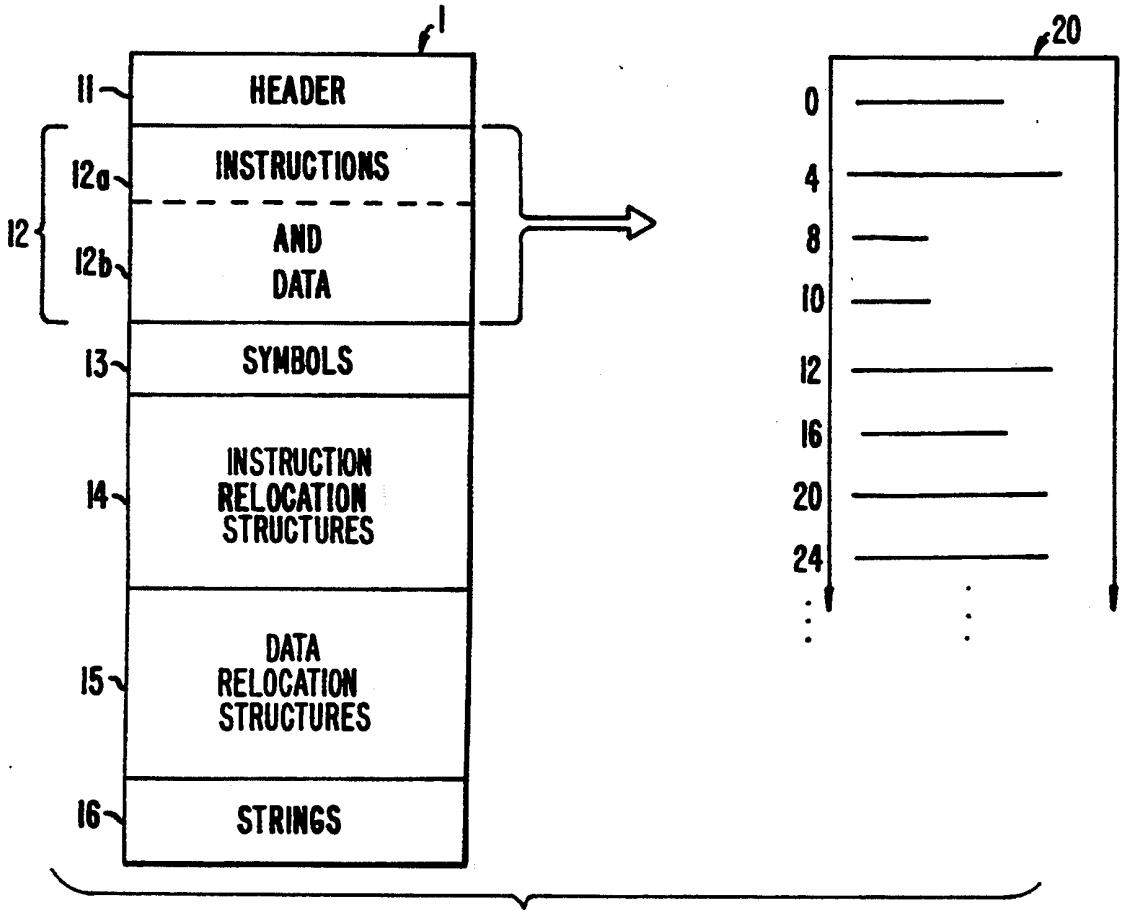
1
11
HEADER
12
12a
INSTRUCTIONS
12b
AND DATA
13
SYMBOLS
14
INSTRUCTION RELOCATION STRUCTURES
15
DATA RELOCATION STRUCTURES
16
STRINGS
20
0
4
8
10
12
16
20
24

FIG. 2.

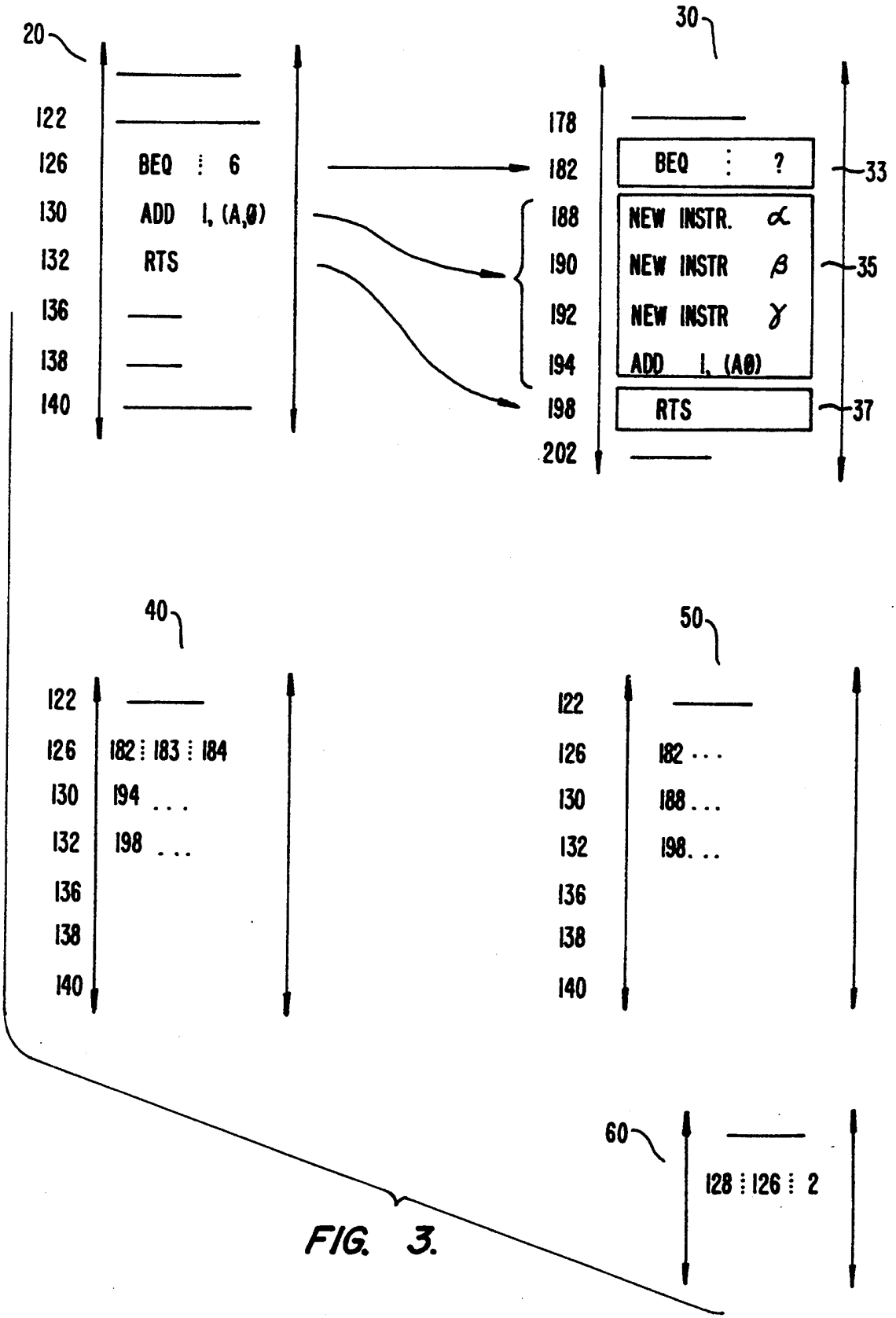
20
122
126 BEQ : 6
130 ADD 1, (A,0)
132 RTS
136
138
140
30
178
182 BEQ : ?
33
188 NEW INSTR. α
190 NEW INSTR β
192 NEW INSTR γ
194 ADD 1, (A0)
35
198 RTS
37
202
40
122
126 182 : 183 : 184
130 194 . . .
132 198 . . .
136
138
140
50
122
126 182 . . .
130 188 . . .
132 198. . .
136
138
140
60
128 : 126 : 2

FIG. 3.

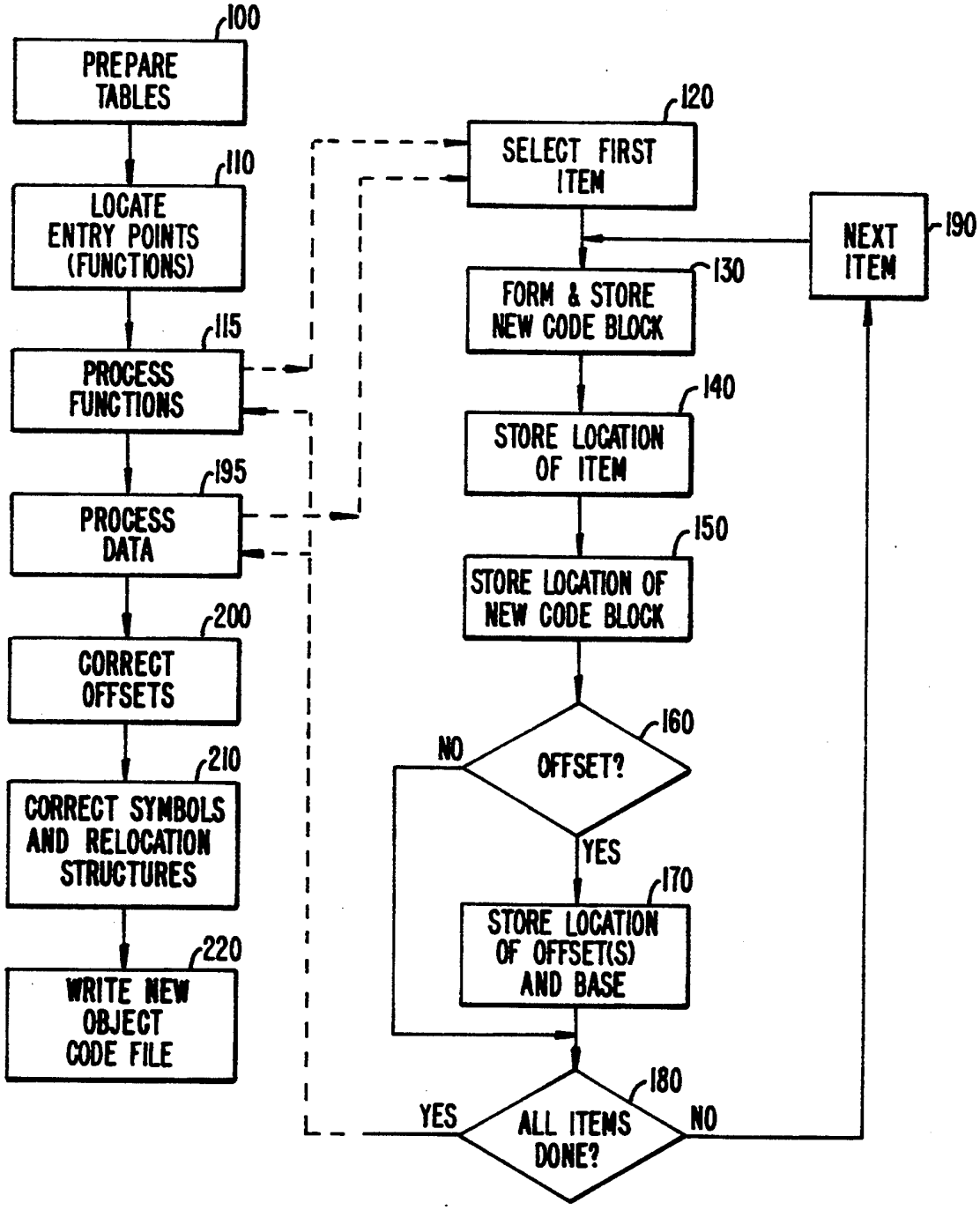
100
PREPARE TABLES
110
LOCATE ENTRY POINTS (FUNCTIONS)
115
PROCESS FUNCTIONS
195
PROCESS DATA
200
CORRECT OFFSETS
210
CORRECT SYMBOLS AND RELOCATION STRUCTURES
220
WRITE NEW OBJECT CODE FILE
120
SELECT FIRST ITEM
130
FORM & STORE NEW CODE BLOCK
140
STORE LOCATION OF ITEM
150
STORE LOCATION OF NEW CODE BLOCK
160
OFFSET?
NO
YES
170
STORE LOCATION OF OFFSET(S) AND BASE
180
ALL ITEMS DONE?
YES
NO
190
NEXT ITEM

FIG. 4.

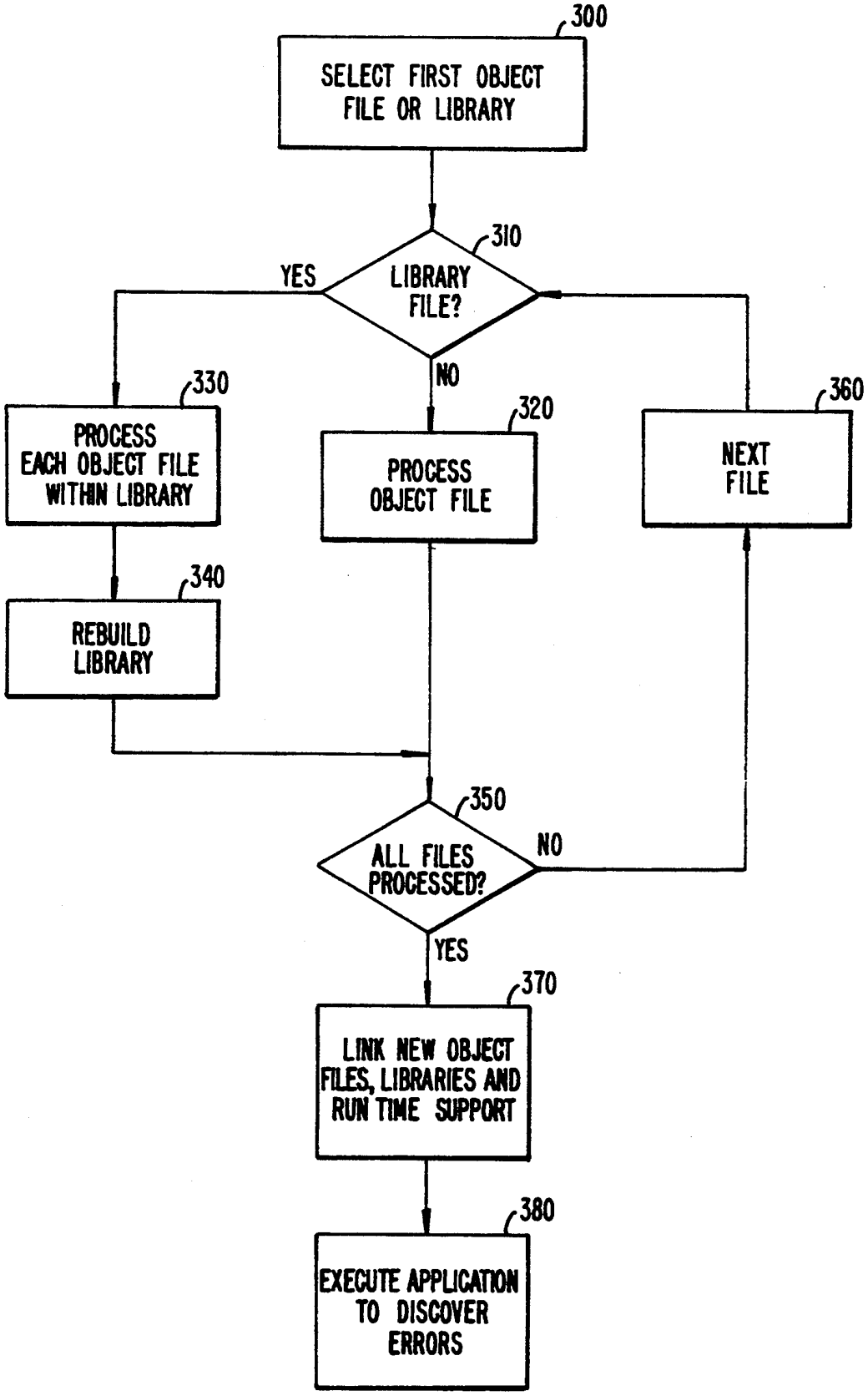
300
SELECT FIRST OBJECT FILE OR LIBRARY
310
LIBRARY FILE?
YES
NO
330
PROCESS EACH OBJECT FILE WITHIN LIBRARY
340
REBUILD LIBRARY
320
PROCESS OBJECT FILE
360
NEXT FILE
350
ALL FILES PROCESSED?
NO
YES
370
LINK NEW OBJECT FILES, LIBRARIES AND RUN TIME SUPPORT
380
EXECUTE APPLICATION TO DISCOVER ERRORS

FIG. 5.

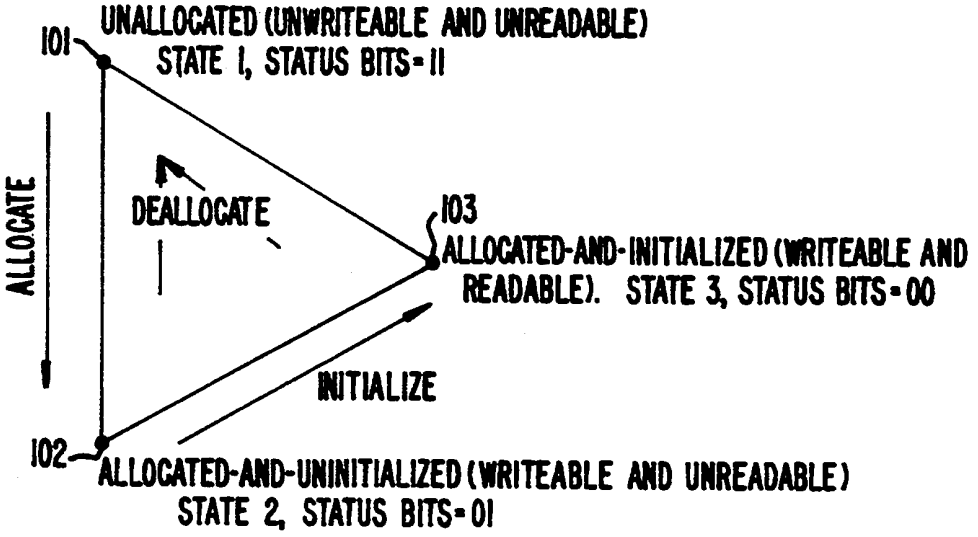
101
UNALLOCATED (UNWRITEABLE AND UNREADABLE)
STATE 1, STATUS BITS=11
ALLOCATE
DEALLOCATE
103
ALLOCATED-AND-INITIALIZED (WRITEABLE AND READABLE). STATE 3, STATUS BITS=00
INITIALIZE
102
ALLOCATED-AND-UNINITIALIZED (WRITEABLE AND UNREADABLE)
STATE 2, STATUS BITS=01

FIG. 6.

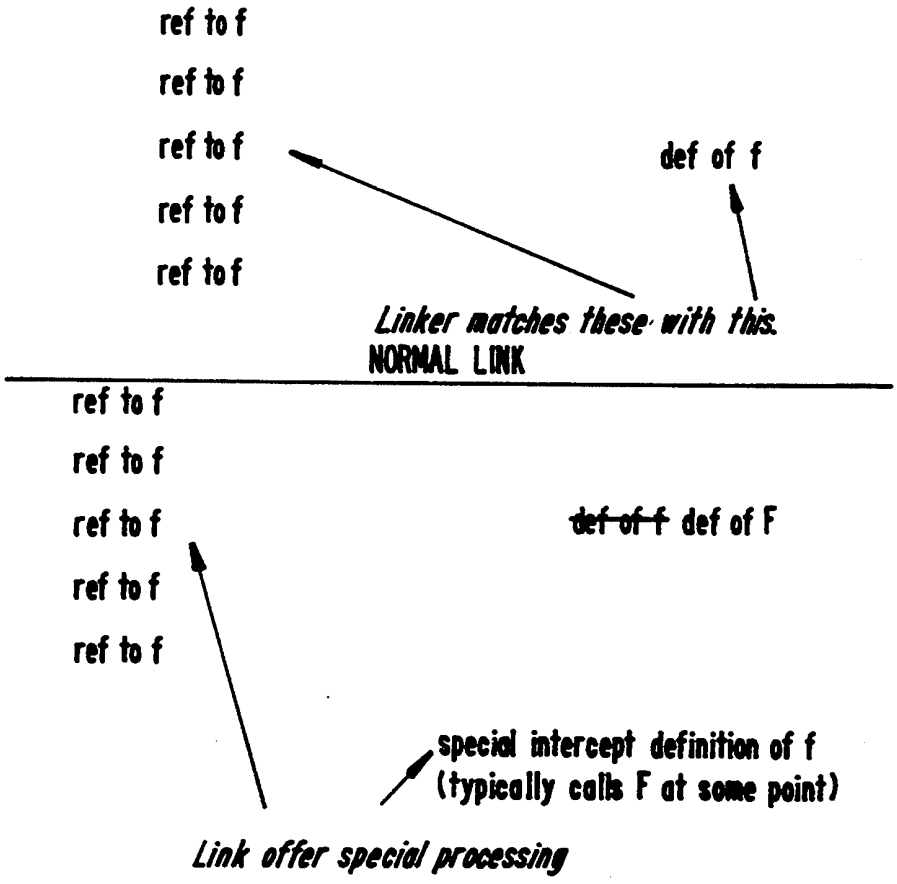
ref to f
ref to f
ref to f
ref to f
ref to f
def of f
Linker matches these with this.
NORMAL LINK
ref to f
ref to f
ref to f
ref to f
ref to f
def of f def of F
special intercept definition of f
(typically calls F at some point)
Link offer special processing

FIG. 8.

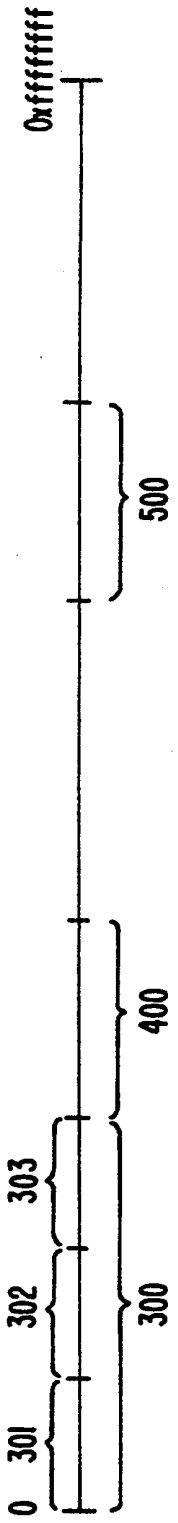

FIG. 7.

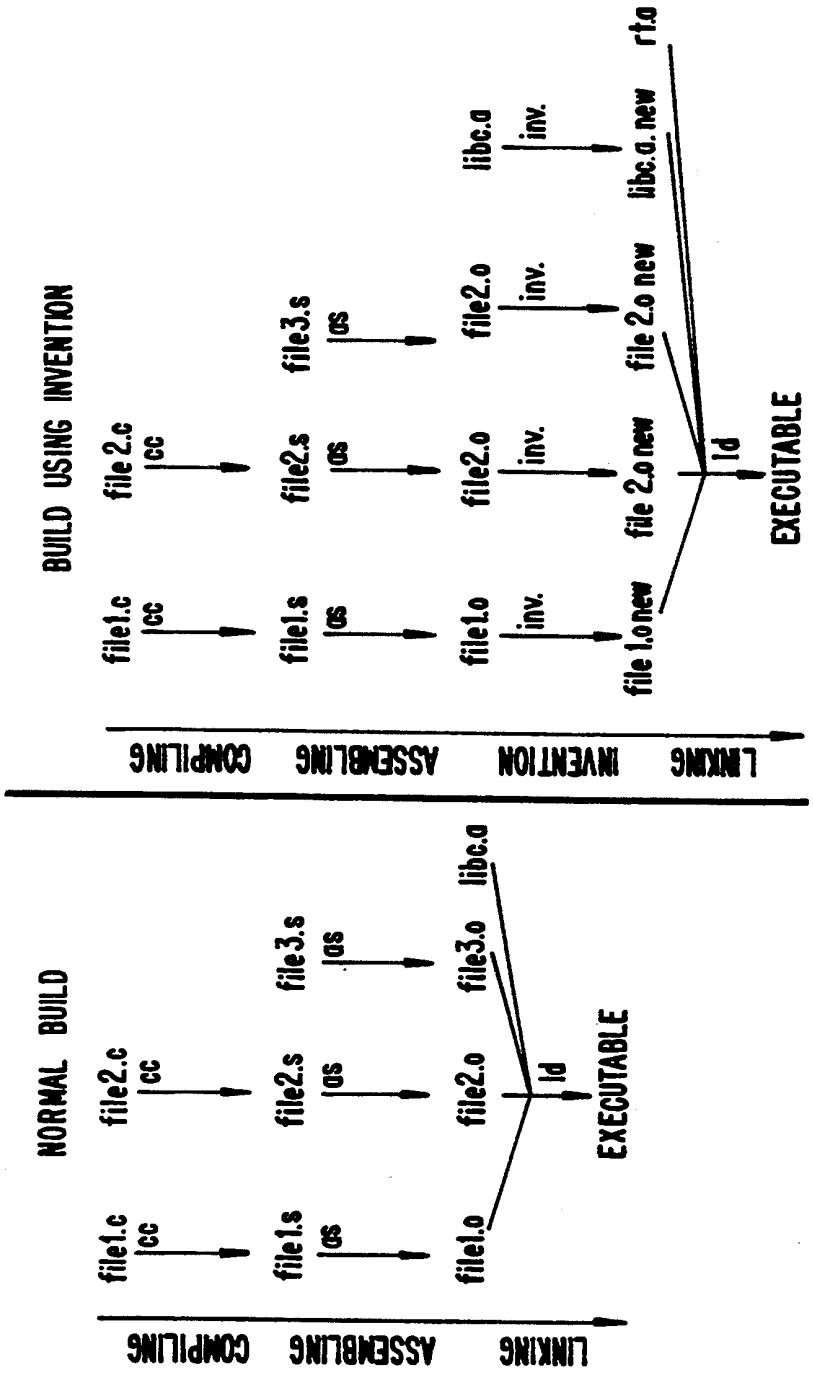
BUILD USING INVENTION
file1.c
cc
file 2.c
file1.s
as
file2.s
file3.s
file1.o
inv.
file2.o
file2.o
libc.a
file 1.o new
file 2.o new
libc.a. new
rt.a
ld
EXECUTABLE
COMPILING
ASSEMBLING
INVENTION
LINKING
NORMAL BUILD
file1.c
cc
file2.c
file1.s
as
file2.s
file3.s
file1.o
file2.o
file3.o
libc.a
ld
EXECUTABLE
COMPILING
ASSEMBLING
LINKING

FIG. 9.

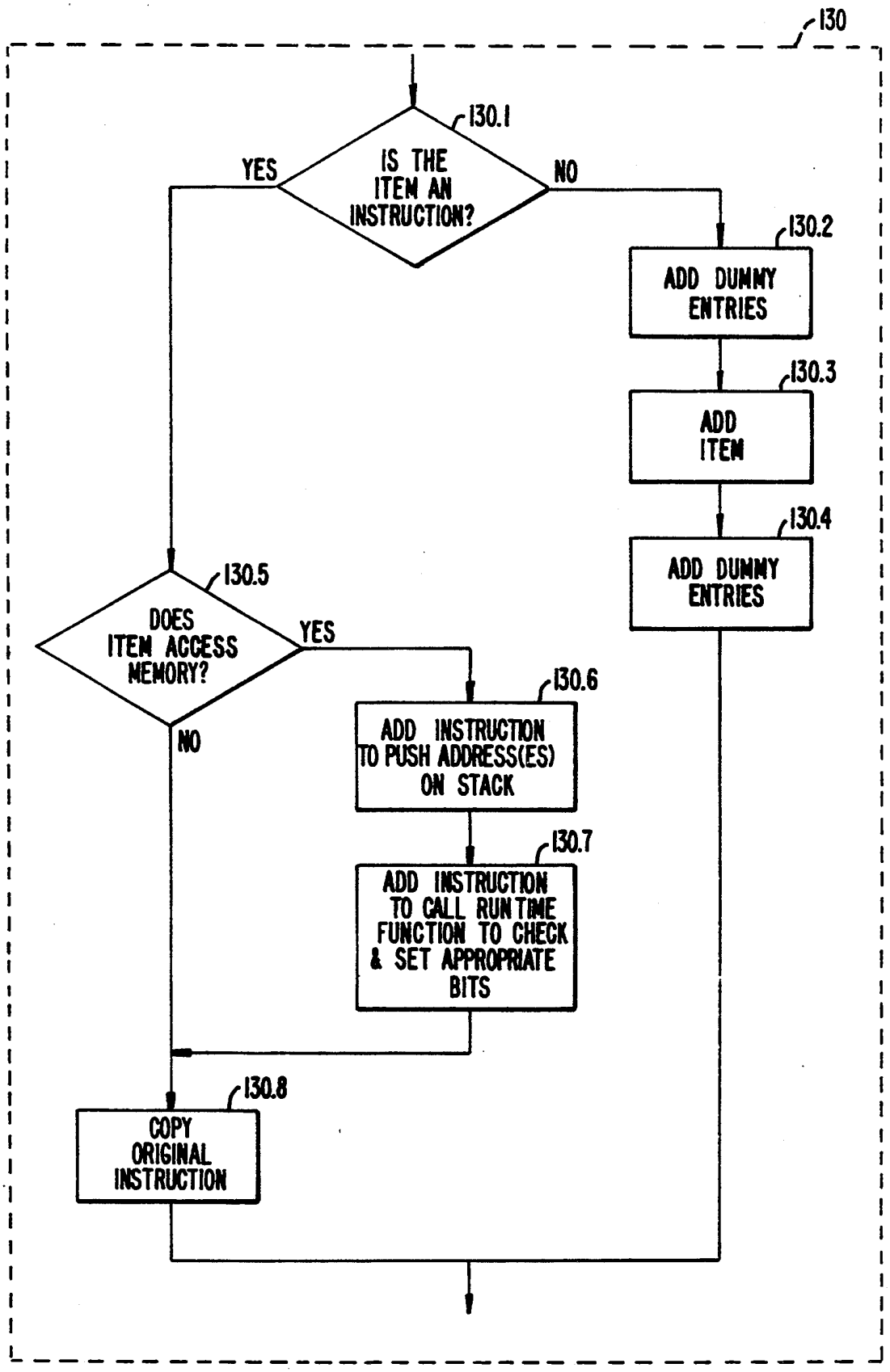
130
130.1
IS THE ITEM AN INSTRUCTION?
YES
NO
130.2
ADD DUMMY ENTRIES
130.3
ADD ITEM
130.4
ADD DUMMY ENTRIES
130.5
DOES ITEM ACCESS MEMORY?
YES
NO
130.6
ADD INSTRUCTION TO PUSH ADDRESS(ES) ON STACK
130.7
ADD INSTRUCTION TO CALL RUNTIME FUNCTION TO CHECK & SET APPROPRIATE BITS
130.8
COPY ORIGINAL INSTRUCTION

FIG. 10.

METHOD FOR INSERTING NEW MACHINE INSTRUCTIONS INTO PREEXISTING MACHINE CODE TO MONITOR PREEXISTING MACHINE ACCESS TO MEMORY

RELATED APPLICATIONS

This case is a continuation of U.S. Ser. No. 07/718,573, filed Jun. 21, 1991, now U.S. Pat. No. 5,193,180.

SOURCE CODE APPENDIX

A microfiche appendix of c language source code for the preferred embodiment (©1991 Reed Hastings) is filed herewith. A portion of the disclosure of this patent document contains material which is subject to copyright protection. The copyright owner has no objection to the facsimile reproduction by anyone of the patent document or the patent disclosure, as it appears in the Patent and Trademark Office patent file or records, but otherwise reserves all copyright rights whatsoever.

BACKGROUND OF THE INVENTION

The present invention relates generally to a method and apparatus for modifying relocatable object files. In particular, the present invention relates to a method for inserting additional instructions and data into an existing relocatable object file of a computer program, for any purpose. Most particularly, this purpose is to monitor memory access by the computer program.

Despite the recent increase in CPU speeds and software complexity, most programmers continue to rely on development tools that were designed over fifteen years ago and that have not changed significantly since then. These development tools have serious inadequacies that exacerbate the difficulties of developing large, complex programs.

Problems with developing applications in C/C++ are often more serious than with other programming languages, but are fairly typical. C/C++'s pointer and memory management facilities make it difficult to build large, robust programs. Prudent C/C++ programmers currently hesitate to use many commercial object code libraries because they are worried they may lose weeks of time later on in tracking down wild-pointer bugs introduced by their particular use of a given library. The difficulty in tracking down these kinds of programming bugs and many others is directly tied to the manner in which executable code is created from source code and to the inadequacies of current development tools.

The process of transforming source code into "executable" code is, briefly, as follows. The source code for a typical computer program is divided into many files. Some of these files may contain high-level language code, such as C, C++, Pascal, Fortran, Ada, or PL1, and some may contain assembly language code. Each high-level language file is translated by a language-specific compiler into either a relocatable object file, or into an assembly language file. An assembler translates the assembly language files into relocatable object files. A linker merges all of the relocatable object files into a single executable program.

As programs get larger and more complex, they become more difficult to test and debug. If one wants to monitor or analyze aspects of a program's behavior, the current practice is to have the compiler output the extra instructions required to implement the desired monitoring. One example of this exists in many Pascal compilers; there is typically a way to request the compiler to output the extra instructions required to check array bounds at run time, and to signal an error if there is a violation. Another example exists in many Unix/C compilers; most compilers will, upon request, output extra instructions to record how many times each function was called.

The approach of having the compiler output the extra instructions required to implement a monitoring or analysis scheme is, however, flawed in at least three significant ways: First, modifying the compiler to output new sequences is difficult, and in practice, nearly impossible, because most programmers don't have the source code to the compiler. Second, recompiling all of a program's files just to get the extra instructions inserted can be very time consuming and wasteful. Finally, not all code goes through a compiler; some is written in assembly language and does not get the new instructions inserted into it. Thus, any monitoring which requires complete coverage to work correctly cannot be implemented through only the compiler.

Some of the most vicious development problems relate to the difficulty in finding and eliminating a large class of memory-access related errors. Among the most important memory-access related errors that a programmer needs to detect are array bounds violations, uninitialized memory reads, free memory access, and data changing strangely.

Array bounds violations (where an array is any collection of data contiguous in memory) occur on those occasions when a program reads or writes past the end, or before the beginning, of an array and accesses whatever datum happens to be in that memory location.

Uninitialized memory reads happen when a program allocates some memory for data storage, but fails to initialize it completely. Later, an uninitialized portion is read, unintentionally providing a random value, which might sometimes cause to the program to fail, and sometimes not.

Free memory access describes the situation where a program deallocates some memory but incorrectly continues to use it. If the program reallocates that memory for another purpose, then it will be using the same memory for two different purposes, and the program will probably perform incorrectly.

"Data changing strangely" is a bit of a catch-all expression. Often there are many ways to change a datum, especially a "global" datum. The programmer can have a difficult time discovering which function is changing the datum incorrectly, in a given run of the program. What the programmer needs is to have a monitoring program tell him or her whenever a specified datum changes (this is called a watchpoint).

A comprehensive way to monitor the execution of today's and tomorrow's programs, in particular their memory access, is clearly needed by the program developer.

SUMMARY OF THE INVENTION

According to one aspect of the invention, an object code file is expanded by inserting additional instructions and/or data between preexisting instructions and data, which may also be modified in some ways. A basically unlimited variety of additional instructions and data can be inserted for an equally wide variety of purposes. After the insertion step, the offsets in the file are

checked and modified, if necessary, to reflect the new positions of the preexisting instructions and data, so that the expanded code will execute properly. In the preferred embodiment additional offsets in symbol tables, data relocation tables and instruction relocation tables are updated in the same general manner as the other offsets. The basic method is as follows:

An old object code table is formed in memory space, containing the preexisting instructions and data. Space is also allocated for other tables: a new object code table, an inter-item offset table, a forward index table, and a forward control index table. For each item in the old object code table (whether instruction or datum), the following four steps are performed: (1) making a new code block comprising any desired additional instructions and/or data and the item, and storing it into the new object code table; (2) storing the location of the item within the new object code table into the forward index table; (3) storing the location of the new code block within the new object code table into the forward control index table; and (4), for items that contain inter-item offsets, storing the location within the old object code table, of the offset and the base from which it is measured, into the inter-item offset table. Then, for each pair of offset/base locations in the inter-item offset table, the offset stored in the new object code table is updated using the index tables. Finally, the offsets in any symbol tables, instruction relocation structures, or data relocation structures in the old object code file are updated so that the new offset refers to the location in the new object code table to where the item referred to was moved.

According to a second aspect of the invention, all or substantially all the memory accesses of a given program are monitored (not including the memory accesses for instruction fetch), for the purposes of performing error-checking- In one embodiment, all the object code files for an executable program are processed, and instructions are added to implement the following monitoring scheme. A memory status array is established, with an entry for most memory locations that are validly accessible by the program. Each entry indicates the state of the corresponding memory location, and the state can be one of the following three: unallocated and uninitialized, allocated but uninitialized, and allocated and initialized. Before each preexisting instruction which accesses memory or which can change memory status, extra instructions are added to maintain the memory status array, and to use the memory status array to check for the errors of writing to unallocated memory and reading from unallocated or uninitialized memory. In one particular embodiment, the data sections of the object code files are expanded with extra dummy entries between each datum. These extra entries are assigned the status of unallocated and uninitialized, and aid in the detection of array bounds violations and similar data errors. In another particular embodiment, a list is stored of memory or datum locations which are to be watchpoints with which more comprehensive monitoring is to be performed.

A further understanding of the nature and advantages of the invention may be realized by reference to the remaining portions of the specification and the drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. **1** is a block diagram a relocatable object file being expanded by an embodiment of the invention into a new relocatable object file;

FIG. **2** is a block diagram showing the relationship between a relocatable object file and an old object code table;

FIG. **3** illustrates the general data/instruction insertion method;

FIG. **4** is a flowchart of the general data/instruction insertion method;

FIG. **5** illustrates the general procedure for implementing a monitoring scheme by modifying the object files for an executable program.

FIG. **6** illustrates the different memory access states used in a particular embodiment of the invention directed to tracking memory process of a program;

FIG. **7** is a virtual memory map showing the portions of virtual memory available to a program;

FIG. **8** illustrates how calls to operating system routines are handled under an embodiment of the invention directed to memory access monitoring;

FIG. **9** illustrates how the object files for an executable program are processed by an embodiment of the invention directed to memory access monitoring; and

FIG. **10** illustrates the formation of new code blocks to implement the memory access monitoring of the preferred embodiment.

DESCRIPTION OF THE PREFERRED EMBODIMENT

This description is sufficiently detailed for an understanding of the invention, but for those interested in more details of implementation, a microfiche appendix containing the source code for the preferred embodiment is attached and references to specific portions within it are provided.

Arbitrary Instruction Insertion

One aspect of the present invention is a method for expanding a relocatable object file, typically by inserting new instructions and data between preexisting instructions and data of the file, without recompilation being necessary. FIG. **1** illustrates a preexisting object code file **1** ("oldfile.o") being augmented by expansion means **5** to form a new object code file **1'** ("newfile.o"). In the preferred embodiment, expansion means **5** is a general purpose computer having a memory and operating under the control of a computer program. Since expansion means **5** takes object files as input, monitoring schemes can be comprehensive; the method can be applied to all code that goes into the final executable product, not just those portions for which source code is available.

The particular embodiment of expansion means **5** described herebelow is designed for a Sun3/60 running Sun OS 4.1.1, using the C/C++ compilers available from Sun Microsystems, Inc., of Mountain View, Calif., so the description is particular in some respects to that system. In that system, a standard format for relocatable object file **1** (.o file) has 7 parts, as shown in FIG. **2**:

A 32 byte header **11**, which describes the lengths of the other parts.

Binary machine instructions **12***a*.

Binary data **12***b*.

Symbols **13**, which have a name (an index into the string section), a type, a value, and other minor fields.

Instruction relocation data structures **14**, which specify which bytes in the instruction section are unresolved references to other data or functions.

Data relocation data structures **15**, which specify which bytes in the data section are unresolved references to other data or functions.

Strings **16**, the names of the symbols.

The pre-existing instructions will generally contain many references to other instructions in terms of offsets; that is, in terms of the number of bytes separating the instructions in the object code file. When new instructions are inserted into the code, these offsets are corrected by expansion means **5**. Simply modifying instructions, as opposed to adding new ones, may lengthen or shorten the instruction and also require offsets to be corrected. Furthermore, the instructions, symbols, and relocation structures also contain references to data and instructions, and these references will often be in the form of an offset from the beginning of the object file section which contains the data and instructions. These are updated in a similar manner.

The value field of certain symbols is an offset into the preexisting instructions and data, and thus must be replaced with the offset of the location to which the preexisting item has been forwarded. The symbols that need updating are those whose type field is one of: N_TEXT, N_BSS, N_DATA, N_STSSYM, N_LCSYM, N_SO, N_SOL, N_ENTRY, N_LBRAC, N_RBRAC AND N_ECOMM. These symbol types are defined in the Sun-supplied include file/usr/include/stab.h (in which "text" generally refers to instructions). Relocation structures have a field named "r_address" which (like the value field of symbols) is an offset into the preexisting instructions and data and must be updated with the new location to where the bytes originally pointed to have been moved. In addition, for local relocations, the bytes pointed to by the "r_address" field are themselves an offset that must be updated.

The extra instructions inserted are often associated with particular pre-existing instructions and must be executed every time that pre-existing instruction is executed, in some cases just before that pre-existing instruction is executed. Some of the references to instructions in preexisting object code file **1** will be offsets used to transfer program control to those points during execution. In this case the instruction offsets are adjusted to point to the beginning of the extra code associated with that pre-existing instruction. In other cases, such when a references points to data, even if extra data is inserted around a particular datum, the reference may still need to point directly to that datum. The data and instructions are generally treated as one section. References to both data and instructions are indexed from the beginning of the section containing the instructions and data. Data may be intermingled with instructions, as well. Expansion means **5** determines whether a particular value in the object file is a datum or an instruction, and also determines the purpose of each reference offset. Furthermore, compilers often put unnamed data, such as constants referred to in the source code, into the instruction section, but not inside a function. To differentiate this data from actual instructions a simplified dead-code analysis is used, starting from the named entry points specified by the symbols of type N_TEXT and the entry points derived from the instruction relocation structures. Any instructions that cannot be reached are considered to be data. One exception is that some object code libraries of at least one earlier Sun OS, 4.03, have several named entry points of type N_TEXT that are data, not entry points, and are therefore ignored for these purposes. The names of these data are "_BYADDR", "_NETGROUP", "_ypsleeptime", and "_ypserv_timeout". Another exception is that at least one compiler, gcc (from the Free Software Foundation), puts named constant data into the instruction section. If the object file was compiled by gcc, the first word of each named entry point is checked. If it is a link instruction, the entry point is considered a function; otherwise, data.

The instruction insertion process of expansion means **5** will now be described in detail with reference to FIGS. **2–4**. FIG. **2** shows a layout in storage media of a relocatable object file **1** containing a section **12** of instructions **12***a* and data **12***b*. Section **12** is copied into a block of memory allocated for the old object code table **20** (see FILES.C, "Initialize"). Each item in table **20** is indicated generally by a horizontal line, and the byte location for each item is shown to the left side (note: byte locations are shown in decimal); different items may have different lengths. Expansion means **5** allocates memory for other tables, shown in FIG. **3**: a new object code table **30**, a forward index table **40**, a forward control index table **50**, and an inter-item offset table **60**, described below. Then, starting at the beginning of the old object code table **20**, each entry in table **20** is processed.

FIG. **4** shows a general flowchart for the method performed by expansion means **5**. Block **100** indicates the preparation of tables **20**, **30**, **40**, **50** and **60**, described above, and block **110** indicates the step of identifying entry points to functions (see INSERT.C, "FindFunctions"). Block **115** indicates the expansion of all functions; the details of the expansion process performed on each function are shown in the loop composed of blocks **120–190** (see INSERT.C, "DoOneFunction").

In step **120** of FIG. **4**, the first item in the old object code table is selected. FIG. **3** shows parts of memory affected during steps **130** to **200** of the expansion process. Locations (bytes) 1 to 125 of table **20** have already been processed, and occupy locations 1 to 181 of new object code table **30**. The next entry (an object code instruction within a function, indicated by its mnemonic "BEQ +6") at location 126 in old object code table **20** is then processed at step **130**. This is a four byte instruction; it begins at location 126, and the next instruction begins at location 126+4=130 (decimal). Two opcode bytes are shown simply as BEQ, and the "6" is a two byte offset pointing to the "RTS" instruction beginning at location 132. Expansion means **5** forms a new code block **33** (see INSERT.C, "DoOneInstruction"), containing just the BEQ statement because no additional instructions or data are inserted. The offset is indicated by a question mark in table **30**, because its new value is not yet known. Expansion means **5** allocates the maximum of four bytes for the offset, even though the original offset was only two bytes (and the BEQ opcode is slightly modified to correspond to a four byte offset). This is done because after expansion, the new offset might be too large for the number of bytes of the previous offset.

Referring to FIGS. **3** and **4** together, in step **140** the location of the BEQ instruction within new object code

table **30** (location=182) is stored into location 126 of a forward index table **40**. In general, the new location of each byte of an instruction is stored in table **40**, but in this case, the only entries that are significant are at location 126 of table **40**, which indicates that the BEQ statement now begins at location 182 of table **30**, and location 128 of table **40**, which indicates that the offset of the BEQ statement now begins at location 184 of table **30**. The ellipses (". . . ") indicate that one or more values are present but have no significance. For example, location 129 of table **40** would correspond to the second byte of the BEQ offset, at location 129 to table **20**; however, the offset gets expanded from two bytes to four, so the individual bytes of the offset cannot be indexed separately.

Next, in step **150**, the location of new code block **33** (location=182) is stored in forward index control table **50**. Even though there is space for the new locations for each byte of the BEQ **6** statement, only the location of the beginning of the statement is significant. Note that in some expansion schemes, the preexisting instruction might always be located at the beginning of the new code block, in which case the same information would be recorded in both forward index table **40** and in forward control index table **50**; dual recordation of this information would, however, be a waste of space. The best approach, therefore, envisions a forward table which, as explained above, may or may not need to include the two separate sub-tables, forward index table **40** and forward control index table **50**.

Next, in step **160**, expansion means **5** determines that this instruction contains an inter-item offset (an inter-item offset is a reference to an instruction or datum expressed in terms of its distance in bytes from a second instruction or datum). This determination is made by examining the opcode of the instruction (see INSERT.C, "RecordPcRelInstr"). Since this instruction contains an inter-item offset, step **170** is performed, whereby the old location of the offset (128 in table **20**), the old location of the base from which the offset was measured (126 in table **20**), and the old size of the offset (2 bytes) are all stored in inter-item offset table **60**. For any instruction which denotes the beginning of a switch table of inter-item offsets, each offset is stored in table **60** as above, with the beginning of the switch table entered as the base (see INSERT.C, "DoSwitchTable"). In step **180** the loop is repeated if any unprocessed items remain. Since there are still more unprocessed items in table **20**, step **190** selects the next item, "Add 1, (A0)", and the loop is begun again at step **130**.

Repeating steps **130–180**, expansion means **5** forms a new code block **35** from the add instruction and new instructions α, β, and γ, which in this particular case precede the add instruction. This new code block is stored at location 188 of new object code table **30**, with the add instruction located at location 194. The location of the add instruction within new object code table **30** (location=194) is stored into location 130 of a forward index table **40**. This indicates that the item which was in location 130 of old object code table **20** is now in location 194 of new object code table **30**. The location of new code block **35** within new object code table **30** (location=188) is stored in location 130 of forward control index table **50**. This indicates that the new code block formed from the item located at entry **130** of old object code table **20** is located at entry 188 of new object code table **30**. The add instruction does not contain an inter-item offset, so nothing is entered into table **60**.

Now this cycle is repeated for the next item in old object code table **20**, "RTS" (return from subroutine) at location 132. A new code block **37** is formed, but it is determined that there are no new instructions to be inserted with the return instruction, so new code block **37** consists only of the return instruction. New code block **37** is stored at the next available location within new object code table **30**, location 198. The location of the return instruction within new object code table **30** is stored into location 132 of forward index table **40**; the location of new code block **37** within new object code table **30** is stored in location 132 of forward control index table **50**. Since the return instruction and new code block **37** are the same, the number 198 gets stored into location 132 of both index tables. In this example, the return instruction does not contain an inter-item offset, so nothing is stored in inter-item offset table **60**. Unnamed constant data is sometimes stored in between functions, after the last instruction of a function and before the next entry point; it may be processed as desired or simply copied directly into the new object code table.

After steps **120–190** have been done for all items in all functions, step **195** repeats the expansion process of blocks **120–190** for all named data. The expansion process is somewhat simpler for data because it does not contain any offsets such as handled by blocks **160–170**. Next, in step **200**, expansion means **5** corrects the inter-item offsets (see PATCH.C, "PatchPcRel"). The inter-item offset table is examined, and for each set of offset/-base locations in that table, the inter-item offset is patched by: first, adding the indicated offset to its base to determine which item in old object code table **20** was targeted by the offset; next, looking up the new location of the targeted item, using forward control index table **50** if the offset is used for program control transfer (such as a jump or call), and using forward index table **40** otherwise; also, looking up the new locations of the offset and base, using forward index table **40**; and, finally, patching the offset in new object code table **30** with the difference between the new location of the targeted item and the new location of the base.

In this particular example, step **200** involves the offset/base pair of 128/126. The offset is looked up at location 128 in table **20**, where the value 6 is found. This is added to the base of 126 to yield a target location of 132.

Because this offset is used in a program control transfer statement (branch), the new target location is looked up in table **50**, which provides a new target location of 198. The new offset and base locations are looked up in table **40**, providing a new base location of 182 and a new offset location of 184. The difference of 198 minus 182, 16, is then stored at the new offset location, 184. This process is repeated for all entries in table **60**.

Next, if the object file contains any symbol tables or relocation tables which are to be corrected, these are analyzed item by item in step **210**, and corrected by replacing old item locations with new item locations, as explained above (see also PATCH.C, "PatchTextReloc" and "PatchdataReloc"). The new item locations are looked up in forward index table **40** (except for debugging symbols, the new locations for which are looked up in forward control index table **50**). A new object code file **1'** is now written, using the new object code table as the data/instruction section, using the new symbol and relocation tables if corrected, and using the remaining information from the old object file **1**.

Memory Access Monitoring

This aspect of the invention is directed to a process of tracking reads and writes of memory by an application program. In the preferred embodiment, all object files of the application program are processed by a memory monitor equipping program that uses the above described expansion means and data/instruction insertion process to insert a function call before every instruction that includes a memory access, and before some instructions that change the stack pointer. All or substantially all of the memory accesses of a given program (not including the memory accesses for instruction fetch) are thereby monitored, for the purposes of performing error checking. All of the object code files for an executable program are processed (except for a library of routines to be added by the memory monitor equipping program), and instructions are added to implement the monitoring scheme described below.

The general procedure of implementing a monitoring scheme to discover errors in an executable program, by modifying all of the object code files for the executable program, linking the modified program and then running it, is illustrated in FIG. **5**. A first object file or library for the executable program is selected in block **300**. If the file is determined to be a simple object file rather than a library, in block **310**, then the object file is processed in block **320** to implement a monitoring scheme, by the expansion process described above; also, functions within the object file may be renamed, as described below. If the file is determined to be a library in block **310**, then each object file that contributes to the library is processed in block **330**, in the same manner that a simple object file is processed by block **320**. Then, in block **340**, the library is rebuilt from the modified object files. After the object file or library has been processed, block **350** determines if any unprocessed files remain for the executable file. If so, block **360** selects an unprocessed file and then the steps of blocks **310–350** are repeated. Once it is determined in block **350** that all files for the original executable program have been processed, all necessary linkage is performed in block **370**, which may include linkage with an extra library file including functions specially designed for the monitoring scheme. The program is then executed in block **380**; during this execution, the monitoring added by the expansion process is performed.

In the memory access monitoring method of the preferred embodiment, the expanded code establishes a memory status array with an entry for most memory locations validly accessible by the program, in which two-bit array entries are allocated for each such memory location. Each entry indicates the state of the corresponding memory location, and the state can be one of the following three: (1) unallocated and uninitialized (status bits=11); (2) allocated and uninitialized (status bits=01); and (3) allocated and initialized (status bits=00). Before each preexisting instruction that accesses memory or that can change memory status, extra instructions are added to maintain the memory status array, and to use the memory status array to check for the errors of writing to unallocated memory and reading from uninitialized or unallocated memory. A state transition diagram is shown in FIG. **6**, which illustrates the three states a memory location can have, and how states are changed. State (1) is indicated by reference numeral 101; state (2), 102; and state (3), 103. The first bit of a status code indicates whether the memory location is unallocated; the second bit indicates whether the memory location is uninitialized. Memory locations in state 1 are unwriteable and unreadable; those in state 2 are writable but unreadable; and those in state 3 are writable and readable.

The status codes generally begin as 11 (state 1, unallocated), and during execution of the modified application program, change as follows: on a successful call to "malloc" (a c memory allocation routine), the status bits for each byte are set to 01; on a successful call to "free", the status bits for each byte are set to 11; on a successful call to "realloc", the status bits for the old memory are set to 11, and for the new, to 01 (the bits for that part of the new memory that is initialized from the old memory is set to 00). When the stack pointer is decremented, the status bits for the bytes on the stack now allocated are set to 01. When a byte is about to be written, the first bit of its status bits is checked—if the bit is set, an error is signalled, else the readable bit is cleared (since the byte will now be initialized). Similarly, when a byte is about to be read, the second bit of its status bits is checked—if the bit is set, and error is signalled. As a special case, when a byte is about to be copied from one memory location to another, the read of uninitialized memory is allowed, but the destination is marked as uninitialized, so that a copy operation on a structure with uninitialized bytes such as those from compiler padding will not cause an error to be signalled. In the preferred embodiment, status checking and changing is handled by a group of specialized runtime functions which are called at the appropriate points.

FIG. **7** represents the entire 32-bit virtual address space and is not to scale. The memory region **300** at the bottom of the address space, which corresponds to the static information in the program, begins and remains in State **3**. Memory region **300** contains the instruction codes **301**, the data **302**, and the BSS data **303** (data loader-initialized to zero). The bytes in heap **400**, which are manipulated via the malloc, realloc, and free functions, change state frequently. This memory is in State 1 to start, then goes to State 2 when it is malloc'd and to State 3 once it has been written; it goes back to State 1 when it has been freed. Memory region **500** is available to the stack. Memory **500** is in State 1 if it is below the stack pointer. As the stack pointer moves down, parts of this memory become "allocated", and are in State 2. Once the stack is written to the status goes to State 3. As the stack pointer moves up, it goes back to State 1. It is possible to treat every movement of the stack pointer as an allocation or deallocation, and to call the same routines as are called for malloc and free. This causes significant performance degradation, however, because the stack pointer changes frequently. A simplified way to track the status of memory in this region with less status bit maintenance is to compare the location of the referenced memory to the stack pointer. Memory in this region and above the stack pointer is looked up in the status bit table; memory in this region and below the stack pointer is considered to be in state 1. The method of stack pointer handling by the preferred embodiment is: (a) On entry to a function, where a link instruction allocates stack space for the function's local variables, a call is inserted to mark this affected memory as state 2. (b) When an argument is pushed onto the stack, a call is inserted to mark the affected memory as state 3. (c) When the stack pointer is incremented (reclaiming stack space) nothing is done. This is tied to the method for looking up the status bits for a given byte, which em-

ploys the rule, "If the byte is on the stack, but below the stack pointer, then ignore the bit table, and use the state 1 (unallocated) bits." (d) Calls to "alloca" are handled specially, and the affected memory is set to status 2.

There is an additional complication for stack variables. Optimizing compilers rearrange code to increase performance; one of the optimizations that they make is to move simple assignments out of loops. Sometimes this can result in an uninitialized stack variable being accessed, but, the result is not used. Unfortunately, a monitored program would not determine that the result is not used, and would signal an error. Such unnecessary signalling of errors is avoided by inhibiting uninitialized stack variable checks in optimized code by marking local variables in the optimized stack-frame as initialized (i.e., in state 3).

The status bits for the memory from 0 to the top of heap **400** are kept in a first bit-array; the status bits for stack memory **500** are kept in a second bit-array. Virtual memory outside of memory regions **300**, **400**, and **500** is obtained for storing these bit arrays using the "mmap" system call. To locate the status bits for an arbitrary byte at an address, the method is: if the address is below the top of heap **400**, then the bit index is 2 times the address; if the address is in stack memory region **500**, then the bit index is the address minus address of the bottom of stack region **500**, then times 2; otherwise, the address must be a special address, such as shared memory, and is ignored.

The current state of a memory location could be indicated without the use of status arrays. The value stored at a memory location would indicate the status of that location. One particular value would represent the unallocated state (state 1), another particular value would represent the allocated and uninitialized state (state 2), and all other values would represent user data in state 3. Obviously, however, single-byte values to not have a significant range, so the values representing states 1 and 2 would often occur in valid user data, causing errors to be incorrectly signalled. This problem could be minimized by using two or four byte sequences to indicate memory status, reducing the odds of random occurrence, but then single-byte access checking would not be easily supported. For this reason, the use of one or more separate status arrays is believed to be preferable.

The code for operating system routines does not get linked into the user's program. This code is thus not available to be processed according to the invention, and the memory status monitoring code cannot be inserted. For this reason the monitor process must take special measures to track system calls in which the operating system accesses the program's memory directly. The same special measures are also taken to track the heap management functions "malloc", "free", and "realloc".

These special measures are shown in FIG. **8**, which shows a process for intercepting all of the calls to a given set of functions, by modifying the name of every definition (usually there is only one) of these functions, and replacing their old names with new names. Interceptor functions are then provided under the old names; these interceptor functions typically call the intercepted functions as well as having other code. Given a function name, f, and its desired replacement, F, which must not have a longer string length than f, each object file is scanned for external symbols (types N_TEXT and N_EXT) named f. For any such instances, the name F is written over the name f. When the linker runs, the only definition of f will be the interceptor function, and when the program runs the interceptor function f will be called in place of the original f, which has been renamed F. To support the name of F being longer than f, the string table may copied and extended, and then all of the old references, which are in the symbol section, are patched into the new string table.

In the preferred embodiment the data sections of the object code files are expanded with extra dummy entries between each datum or array of data. These extra entries are assigned the status of unallocated and uninitialized, and aid in the detection of array bounds violations and similar data errors. The preferred embodiment also establishes a list of memory or datum locations which are to be watchpoints with which more comprehensive monitoring is to be performed. These additional aspects of the preferred embodiment are described in more detail below.

In order to detect many array bounds violations, 8 bytes of memory are allocated before and after each array in the heap, data and bss segments. These 8 bytes are marked as State 1 (unallocated) so that if the program accesses off the end of an array, it will access State 1 memory, and trigger the signalling of an error. For heap arrays, the status bits are set when the array is allocated. For statically allocated arrays, a special 8 byte value (unlikely to be encountered randomly) is inserted between each statically allocated datum. When the monitored program starts execution, the statically allocated memory is searched for occurrences of the 8 byte value. The status bits for each such occurrence are set to state 1. The error signalling routine looks for the special 8 byte values to print more informative messages ("Array bound violation", in place of "memory access violation"). Stack arrays are not currently delimited with the 8 byte markers, although they could be if so desired.

There are some further complications with this method of tracking arrays, however. Occasionally, either the compiler or the programmer computes the address of the end of an array and uses it as an upper-limit pointer. If the array is defined in the same file upper-limit pointer is used, then the relocation information provided by the compiler is identical to that provided for a reference to the beginning of the next array. In general, any link-time reference to an address between two data could be intended as either to the end of the first datum or to the beginning of the second. When the data are separated, as described in the preceding paragraph, those two points will no longer be identical. Almost always, the reference is to the beginning of the second, and that assumption can be made. It is possible to implement a check to determine if the reference is used solely as an upper-limit pointer or not, and have the reference patched accordingly. Another alternative is to allow the programmer to suppress the insertion of the 8 byte data separator in files that use an upper-limit pointer for locally defined arrays.

Watchpoints are implemented by setting the read and write status bits of the bytes to be watched to 11 (binary) and by adding the location of the watchpoint to a watchpoint list. When the error signalling routine is called, the address being checked is compared against the list of current watchpoints. If there is not a match, the error signalling routine continues normally. If there is a match, then the status bits to be manipulated are in a watchpoint-specific data structure, and the error rou-

tine calls the watchpoint routine, which typically prints a message, and returns without signalling an error.

The above described memory access monitoring of the preferred embodiment is implemented by the methods illustrated in FIGS. **4** and **5**, wherein the formation of new code blocks, step **130** of FIG. **4**, is performed according to the method described hereinbelow with reference to FIG. **10**, and wherein function definitions of operating system routines that access memory are intercepted as described above. Also, the original initial entry point to the program is redefined to point to monitoring setup code, which when finished, transfers to the original initial entry point. The monitoring setup code is thus the first code executed in the modified executable program, and establishes the memory status arrays.

Referring to FIG. **10**, for this formation of new code blocks, block **130.1** determines the processing of the item according to whether it is an instruction (part of a function) or a datum. If it is a datum, blocks **130.2** to **130.4** copy the datum into the new code block with a dummy entry before and after, to enable the array bounds checking described above. For instructions, it is determined in block **130.5** if they access memory. If so, block **130.6** adds an instruction to push onto the stack the memory address(es) to be accessed, and block **130.7** adds a call to the appropriate special runtime function that will check and set the appropriate status bits as well as signal errors and handle watchpoints. Finally, in block **130.8**, the item itself (the preexisting original instruction) is copied into the new object code table, and the procedure of new code block formation step **130** is completed. The remainder of the method of modifying the executable program and monitoring its execution is as described above with reference to FIGS. **4** and **5**.

Alternative Embodiments

Rather than being added through object code processing, the instructions used to implement monitoring could be added in a compiler based or precompiler based manner, both of which have some advantages and significant disadvantages, however. A compiler normally generates during compilation all the necessary information to implement this monitoring; what is lacking, basically, is for the compiler to add extra code as illustrated in FIG. **10**. The disadvantages of this approach are that recompilation for error checking consumes much more time than the above described object code processing, and that source code access to all involved libraries is necessary to ensure comprehensive and accurate error checking. A precompiler based approach, which would insert extra source code statements into source code files, would suffer all of the disadvantages of a compiler based approach, although it would have portability advantages.

Yet another alternative approach would be for the invention to add the monitoring code directly into a previously linked program. Since an executable program has the same basic format as a relocatable object file, the program could be processed as one large object file. This would entail a more involved dead code analysis to distinguish data from instructions, and there would be both relative and absolute addresses to be updated rather than just relative addresses (offsets).

It is to be understood that the above description is intended to be illustrative and not restrictive. Many other embodiments will be apparent to those of skill in the art upon reviewing the above description. For instance, provisions for shared memory could be made, such as with yet another bit table, but the bit tables should then also be shared, and all programs which access the shared memory should correctly maintain the status codes. Also, another example of a monitoring scheme especially suitable for implementation through the above object code expansion would be standard profiling. The scope of the invention should, therefore, be determined with reference to the appended claims, along with the full scope of equivalents to which such claims are entitled.

What is claimed is:

1. A computer implemented method for producing a set of modified machine instructions from a set of preexisting machine instructions, wherein machine instructions are machine readable instructions executable by a computer processor, said set of modified machine instructions having the ability to maintain memory status information for a region of memory and having the ability to check memory accesses to said region of memory, said set of preexisting machine instructions including a set of preexisting memory access instructions corresponding to a set of preexisting memory accesses to said region of memory, said memory status information indicating at least two memory states, said at least two memory states including an allocated state and an allocated state, said allocated state corresponding to a memory location allocated by a computer program, and said unallocated state corresponding to a memory location not allocated by said computer program, said method comprising the steps of:

providing, in a computer storage medium, status information maintenance machine instructions for maintaining said memory status information such that said memory status information is indexed by memory addresses of said region of memory, wherein at least a first portion of said status information maintenance machine instructions is for being executed in conjunction with memory allocation machine instructions, and for updating to said allocated state said status information for memory allocated within said region of memory by said memory allocation machine instructions, and at least a second portion of said status information maintenance machine instructions is for being executed in conjunction with memory deallocation machine instructions, and for updating to said unallocated state said status information for memory deallocated within said region of memory by said memory deallocation machine instructions;

providing, in a computer storage medium, memory access checking machine instructions for being executed in conjunction with a memory access instruction, for checking said status information for memory within said region of memory accessed by said memory access instruction and for reporting an error if said status information for said accessed memory indicates said unallocated state;

under computer control, modifying said set of preexisting machine instructions and producing in a computer storage medium said modified set of machine instructions including said status information maintenance machine instructions, said memory access checking machine instructions, and instructions corresponding to at least a subset of said set of preexisting machine instructions.

2. The computer implemented method of claim **1**, wherein said step of providing said status information maintenance machine instructions comprises providing

status information maintenance machine instructions for maintaining at least a portion of said memory status information in a table having entries indexed by memory address.

3. The computer implemented method of claim 1, wherein said step of providing said status information maintenance machine instructions comprises providing status information maintenance machine instructions for storing any of a first predetermined set of bit patterns in memory allocated by said memory allocation machine instructions, and for storing any of a second predetermined set of bit patterns in memory deallocated by said memory deallocation machine instructions.

4. The computer implemented method of claim 1, wherein memory access types include read and write, wherein said allocated state further includes an allocated-and-initialized state and an allocated-and-uninitialized state, said allocated-and-initialized state corresponding to a memory location allocated by said computer program and initialized by having data for said computer program written thereto, said allocated-and-uninitialized state corresponding to a memory location allocated by said computer program but not having data for said computer program written thereto, said at least some preexisting memory access instructions including a set of memory write instructions, for which performance would involve a write access to memory, said at least some preexisting memory access instructions further including a set of memory read instructions, for which performance would involve a read access to memory, wherein

said step of providing memory access checking machine instructions comprises

providing memory write checking machine instructions for updating said memory status information, for memory in said region of memory and accessed by a memory write instruction, from said allocated-and-uninitialized state to said allocated-and-initialized state, and

providing memory read checking machine instructions for signaling an error when memory in said region of memory and accessed by a memory read instruction is not in said allocated-and-initialized state; and

said step of modifying said set of preexisting machine instructions further comprises

for each memory write instruction of said set of memory write instructions, said each memory write instruction corresponding to a memory write access, modifying said set of preexisting machine instructions to execute said memory write checking machine instructions when said memory write access is performed, and

for each memory read instruction of said set of memory read instructions, said each memory read instruction corresponding to a memory read access, modifying said set of preexisting machine instructions to execute said memory read checking machine instructions when said memory read access is performed.

5. The computer implemented method of claim 4, wherein said step of providing said status information maintenance machine instructions comprises providing status information maintenance machine instructions for maintaining at least a portion of said memory status information in a table having entries indexed by memory address.

6. The method of claim 4, wherein said step of providing said memory access checking machine instructions comprises providing memory access checking machine instructions for checking for at least some of said memory status information as a predetermined bit pattern stored in said accessed memory.

7. A computer implemented method for producing a set of modified machine instructions from a set of preexisting machine instructions, wherein machine instructions are machine readable instructions executable by a computer processor, said set of modified machine instructions having the ability to maintain memory status information for a region of memory and having the ability to check memory accesses to said region of memory, said set of preexisting machine instructions including a set of preexisting memory access instructions corresponding to a set of preexisting memory accesses to said region of memory, said memory status information indicating at least two memory states, said at least two memory states including an allocated state and an unallocated state, said allocated state corresponding to a memory location allocated by a computer program, and said unallocated state corresponding to a memory location not allocated by said computer program, said method comprising the steps of:

providing in a computer storage medium, status information maintenance machine instructions for maintaining said memory status information, said status information maintenance machine instructions including instructions for determining memory status information for a given memory address,

at least a first portion of said status information maintenance machine instructions is for being executed in conjunction with memory allocation machine instructions, and for updating to said allocated state said status information for memory allocated within said region of memory by said memory allocation machine instructions, and

at least a second portion of said status information maintenance machine instructions is for being executed in conjunction with memory deallocation machine instructions, and for updating to said unallocated state said status information for memory deallocated within said region of memory by said memory deallocation machine instructions;

providing, in a computer storage medium, memory access checking machine instructions for being executed in conjunction with a memory access instruction, for checking said status information for memory within said region of memory accessed by said memory access instruction and for reporting an error if said status information for said accessed memory indicates said unallocated state; and

under computer control, producing in a computer storage medium, from said set of preexisting machine instructions, said modified set of machine instructions including said status information maintenance machine instructions, said memory access checking machine instructions, and instructions corresponding to at least a subset of said set of preexisting machine instructions.

8. The computer implemented method of any of claims 1–6 and 7, wherein said set of modified machine instructions are in relocatable machine instruction format, said step of modifying said set of preexisting machine instructions further comprising the steps of:

linking a set of relocatable object files with said set of modified machine instructions to form an executable computer program; and

executing said executable computer program.

9. The computer implemented method of any of claims 1–6 and 7, wherein said set of preexisting machine instructions and said set of modified machine instructions are in executable machine instruction format, wherein an executable computer program comprises said set of modified machine instructions, said method further comprising the step of executing said executable computer program.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 5,335,344
DATED : August 2, 1994
INVENTOR(S) : Reed Hastings

It is certified that error appears in the above-indentified patent and that said Letters Patent is hereby corrected as shown below:

ON THE TITLE PAGE:

Item [56]:

Under "OTHER PUBLICATIONS", please add the following:

-- Samuel C. Kendall, "Bcc: Runtime Checking for C Programs," USENIX, Software Tools Summer 83 Toronto Conference Proceedings, pp. 6-16.

John L. Nichols, "The Bug Stops Here," Electronics Design, January 26, 1989, pp. 84-90.--

IN THE CLAIMS:

Column 14, lines 25-26, 2nd occurrence of "allocated", change "allocated" to --unallocated--.

Signed and Sealed this

Fifth Day of December, 1995

Attest:

Attesting Officer

BRUCE LEHMAN

Commissioner of Patents and Trademarks