

A SOLUTION OF THE FUNCTIONAL ARGUMENTS PROBLEM IN LISP

by John McCarthy
Dean E. Wooldridge, Jr.

We propose to handle the functional argument problem by introducing a function called function (different from the function function in LISP 1.5) and making the following change in programs using functional arguments: rather than write "function[λ [[a_1 ; . . . ; a_n]; <expr>]]", we write "function[[a_1 ; . . . ; a_n]; <expr>; [s_1 ; . . . ; s_m]]", where the s_i are variables used in the expression <expr> (or in functions called as a result of the fact that <expr> is called) which must be evaluated at the time the functional argument is set up. "function[[a_1 ; . . . ; a_n]; <expr>; NIL]" will be interpreted as λ [[a_1 ; . . . ; a_n]; <expr>] and all arguments and parameters of <expr> will be evaluated at the time <expr> is called (this is consistent with the behavior of LISP before the addition of the FUNCTION-FUNARG hack).

For the interpreter:

function[[a_1 ; . . . ; a_n]; <expr>; [s_1 ; . . . ; s_m]] generates and defines (Gsymbol (LAMBDA (s_1 . . . s_m) <expr>)); replaces itself (using rplaca and rplacd) with: (FUNCTION (a_1 . . . a_n) Gsymbol (s_1 . . . s_m)); and returns a pointer to: (LAMBDA (a_1 . . . a_n)(Gsymbol (QUOTE \bar{s}_1) . . . (QUOTE \bar{s}_m))), where $\bar{s}_i = \text{eval}[s_i]$ (or, in LISP 1.5, $\text{eval}[s_i; \$ALIST]$). Subsequent calls of function recognize that the second argument is a LISP-generated symbol and behave as above except that Gsymbol is not again defined.

The computer handles functional arguments in the following way:

The definition of Gsymbol is compiled at compile time; and the main program is compiled with code to list the s_i and place a pointer to this list in the second word of the two-word block on the push-down list which contains the functional argument. The first word of the functional argument block is to be loaded with a transfer to Gsymbol. The arguments a_i are placed on the push-down list in the same manner as are arguments of functions which are not arguments of Gsymbol. Functional arguments which require no special treatment use only one word on the push-down list.

Consider the following example:

```
test[x;u] = if atom[x] then u[] else
  test[car[x];λ[[];test[cdr[x];u]]], where the last x (in
. . . test[cdr[x]; . . . ) is to have the value that was current at the
time test[car[x]; . . . ] was entered. In the new notation, this function
would be written:
```

```
test[x;u] = if atom[x] then u[] else
  test[car[x];function[[];test[cdr[x];u];[x]]. Or, if u is
permitted to be modified and the value of u at the time the functional
argument call is set up is desired, then the definition becomes:
test[x;u] = if atom[x] then u[] else
  test[car[x];function[[];test[cdr[x];u];[x;u]].
```

To illustrate the *****FULL POWER***** of this scheme, we present:

```
testr*[x;y;f;p;u] =
  if p[x] then f[x] else
  if atom[x] then u[y] else
  testr*[car[x];y;f;p;function[[y];testr*[cdr[x];y;f;p;u];[x;p;u]]].
```

(We wish to acknowledge our indebtedness to Prof. Harold McIntosh of the Instituto ~~Maximiliano~~ Politecnico Nacional of Mexico City, whose contribution to the above example is obvious.)

Here it is assumed that y and f are constant but that p and u may not be, and, of course, x is definitely not constant. After functional argument juggling by the read routine, define, or the function function, the internal representation of this definition may be as the following S-expression:

```
(TESTR* (LAMBDA (X Y F P U)(COND
  ((P X)(F X))
  ((ATOM X)(U Y))
  (T (TESTR* (CAR X) Y F P (FUNCTION (Y)(Gsymbol(X P U))))
  )))
```

where Gsymbol is defined as:

```
(Gsymbol (LAMBDA* (X P U)(TESTR* (CDR X) Y F P U))),
```

and the meaning of "LAMBDA*" will be made clear shortly.

For the purpose of illustrating a way in which this scheme might be implemented by the compiler, we shall follow these conventions:

Arguments of functions are transmitted via the push-down list.

Values of functions are returned in the accumulator.

List pointers are true address pointers.

Function argument pointers are in decrement fields.

Called functions clock up and down the push-down list pointer (in index PDX).

The push-down list expands towards higher locations.

The last cell of a function's push-down block saves the return address, which the function picks up from the subroutine index (SRX).

Arguments are evaluated by the calling function.

The appearance of the push-down list at the time of execution of Gsymbol is indicated on the page following the sample code.

On the 7090, testr* might be compiled as shown on the next pages.

```
TESTR* TXI    *+1,PDX,-8
             PXA    0,SRX
             STO    0,PDX          save return address
             CLA    -7,PDX          x
             STO    1,PDX
             XEC    -4,PDX          p
             TZE    *+3            go if not[p[x]]
             XEC    -5,PDX          f (smart compiler remembers that x is still
*                                     in the right place on the push-down list)
             TRA    RETURN
*
             TSX    ATOM,SRX
             TZE    *+5            go if not[atom[x]]
             CLA    -5,PDX          y
             STO    1,PDX
             XEC    -5,PDX          u
             TRA    RETURN
*
             TSX    CAR,SRX
             STO    -1,PDX          save car[x]
             PXA    0,PDX
             PAC    0,SRX
             TXI    *+1,SRX,-7
             PXA    0,SRX          pointer to x
             TXI    *+1,SRX,3
             PXA    0,SRX          pointer to p
             STO    3,PDX
             TXI    *+1,SRX,1
             PXA    0,SRX          pointer to u
             STO    4,PDX
             CLA    -3            number of arguments of list
             STO    1,PDX
             TSX    LIST,SRX      list values of x, p, and u current at time of
*                                     setting up functional argument
             STO    6,PDX
             CLA    -1,PDX          car[x] previously set aside
             STO    1,PDX
             CLA    -6,PDX          y
             STO    2,PDX
             CLA    -5,PDX          f
             STO    3,PDX
             CLA    -4,PDX          p
             STO    4,PDX
             CLA    CALL          Gsymbol
             STO    5,PDX
             TSX    TESTR*,SRX
*
RETURN XCA
             CLA    0,PDX
             PAX    0,SRX          restore return index
             XCA
             TXI    *+1,PDX,8      restore push-down list
             TRA    1,SRX          return
*
CALL    TSX    Gsymbol,SRX
```

Gsymbol	TXI	*+1,PDX,-1	
	CLA	0,SRX	pick up XEC that called Gsymbol
	STA	*+1	
	LDQ	** ,PDX	pick up list of x, p, and u
	TXI	*+1,PDX,-5	clock up push-down pointer
	PXA	0,SRX	
	STO	0,PDX	
	STQ	1,PDX	
	TSX	CAR,SRX	get true pointer to saved x
	PDC	0,SRX	
	CLA	0,SRX	
	STO	-4,PDX	saved x is second argument of Gsymbol
	TSX	CADR,SRX	get true pointer to saved p
	PDC	0,SRX	
	CLA	0,SRX	
	STO	-3,PDX	saved p is third argument of Gsymbol
	TSX	CADDR,SRX	get true pointer to saved u
	PDC	0,SRX	
	CLA	0,SRX	
	STO	-2,PDX	saved u is fourth argument of Gsymbol
	CLA	1,SRX	
	STO	-1,PDX	u takes two push-down list words
*			
*	*	*	*
*			
	CLA	-4,PDX	saved x
	STO	1,PDX	
	TSX	CDR,SRX	
	STO	1,PDX	cdr[x]
	CLA	-5,PDX	y
	STO	2,PDX	
	CLA	-11,PDX	f from most recent entry to TESTR* -- since
*			Gsymbol is compiled as a subcompilation of
*			TESTR*, and can be called only by TESTR*, it
*			knows where the arguments of TESTR* may be
*			found on the push-down list and need not use
*			free (special) variable mechanism
	STO	3,PDX	
	CLA	-3,PDX	saved p
	STO	4,PDX	
	CLA	-2,PDX	saved u, word 1
	STO	5,PDX	
	CLA	-1,PDX	saved u, word 2
	STO	6,PDX	
	TSX	TESTR*,SRX	
	XCA		
	CLA	0,PDX	
	PAX	0,SRX	restore return index
	XCA		
	TXI	*+1,PDX,6	restore push-down list
	TRA	1,SRX	return

Push-down list configuration for the execution of testr*[. . .]:

Location relative to push-down
index

↓	contents	
-7	x	
-6	y	
-5	f (TSX f,SRX)	
-4	p (TSX p,SRX)	
-3	u (TSX u,SRX)	
-2	u (PZE **)	
-1	** (temporary storage)	
0	<return>	<<<PDX points here during initial execution of testr*

(When Gsymbol is entered, the push-down list is ~~initially~~ expanded as follows.)

1	y	
2	x (saved)	
3	p (saved)	
4	u (saved)	
5	u (saved)	
6	<return>	<<<PDX points here during execution of Gsymbol

(Gsymbol sets the arguments for the next testr* call into the next five cells on the push-down list and goes to ~~next~~ TESTR*.)

The meaning of "LAMBDA*" is now apparent: Gsymbol is formally defined as a function with one argument; but it really has four -- the last three of which it sets up for itself on the push-down list. Furthermore, since Gsymbol can only be called by testr* and it is not recursive, it really needn't take up space on the push-down list. Thus, Gsymbol might be a function of one argument which really has no arguments at all -- and which is, in fact, not even a function. The first part of Gsymbol -- down to the line of asterisks -- is the FEXPR part of the function, and might well be coded as a separate linking routine -- McCarthy's "rudimentary apply."

We have glossed over the problem of telling functions which call functionals how many push-down words the arguments of the functional use; this difficulty, we believe, may be overcome by some sort of simple modification of the calling sequence for functional arguments -- a scheme which would pack subroutine locations and list pointers into one word on the push-down list, for example.