

An Algorithm to Represent
a Collection of Sets as Intervals

We consider a collection of finite sets T_1, T_2, \dots, T_n and approach the problem of determining an ordering of the elements of $S = \bigcup_j T_j$ so that each set T_j is an interval, i.e. a set of the form $\{x, a_j \leq x \leq b_j\}$. Not every collection of sets admits to a simultaneous representation of its members as intervals. An example is the collection whose members are $\{a, b\}$, $\{b, c\}$, and $\{a, c\}$. If an ordering of the elements of S exists so that each set T_j is an interval, the algorithm we give will produce such an ordering. It will terminate when the discovery is made that such an ordering does not exist. We give an example to illustrate the strategy of the algorithm.

Let $T_1 = \{a, b, d\}$, $T_2 = \{b, c, d\}$, and $T_3 = \{b, c, e\}$. We seek to produce an ordering of the letters $\{a, b, c, d, e\} = S$ so that each of $T_1, T_2,$ and T_3 is an interval in that ordering. We start with the observation that if each of T_1 and T_2 is to be an interval then $T_1 \cap T_2$ is an interval and separates the elements of $T_1 - T_2$ from the elements of $T_2 - T_1$.

We write these conditions symbolically as

$$\{a\} < \{b,d\} < \{c\} \quad (*)$$

That is, in any ordering a precedes each of b and d and each of these precedes c . Conversely, each of T_1 and T_2 is an interval in any ordering of $\{a,b,c,d\}$ which respects these conditions. If T_3 is also to be an interval in an ordering in which each of T_1 and T_2 is an interval, then as d is not a member of T_3 , d cannot separate b and c which are in T_3 . Hence, we have the conditions

$$\{a\} < \{d\} < \{b\} < \{c\} < \{e\}$$

We have tacked $\{e\}$ onto the right end because T_3 covers the set $\{c\}$ on the right in the ordering $(*)$. At this point the ordering of $S = \{a,b,c,d,e\}$ is completely determined by these relations. Reversal of the ' $<$ ' sign produces another but equivalent ordering. An additional set which contains elements of S must contain a single interval in this ordering, if that set is to admit a simultaneous representation with T_1, T_2 , and T_3 as intervals.

This strategy can be extended to any number of sets T_1, T_2, \dots, T_n . As in the above example, the algorithm maintains a list

$$S_1 < S_2 < \dots < S_k$$

of subsets of $S = \bigcup_j T_j$ which contains implicitly all orderings in which a finite number of sets T_1, T_2, \dots, T_k are intervals

in the following precise sense. An arrangement of the elements of $\bigcup_j S_j$ is an ordering in which each of the sets T_1, T_2, \dots, T_k is an interval if and only if each element of S_{i-1} precedes every element of S_i . The list S_1, S_2, \dots, S_k is constructed in the following manner. First, it is initialized as T_1 . Then each set T_j is considered in turn. The conditions imposed by the $j+1$ st set are included in the list in the following manner. Note that if T_{j+1} contains $\bigcup_i S_i$ then it is not possible to make a choice of the location of the elements of $T_{j+1} - \bigcup_i S_i$ so that the relation of the list to the orderings of the elements of $\bigcup_{i=1}^{j+1} T_i$ in which each set is an interval is preserved. In this case T_{j+1} is declared to be exceptional and is put aside until the remaining sets have been considered.

Now suppose that T_{j+1} does not contain one of the elements of S_i . The elements in $T_{j+1} - \bigcup_i S_i$, if this set is nonempty, are attached as a single set to the left of S_1 , if $T_{j+1} \supset S_i$ or to the right of S_k , if $T_{j+1} \supset S_i$. If both of these conditions are satisfied, then the next step in the process will determine that no order of S exists and the algorithm will terminate no matter what choice is made. If neither condition is satisfied, the additional elements are attached to an end which T_{j+1} intersects nontrivially. If T_{j+1} intersects nontrivially both or

neither end , then an arbitrary choice is made and the next step detects that no order is possible. The next step depends on the observation that if T_{j+1} is to be an interval, then the indices of the sets S_i which T_{j+1} intersects nontrivially must form an interval and T_{j+1} must contain each of these sets S_i except possibly the sets on either extreme of the interval.

If this condition is satisfied, we consider first the case that T_{j+1} is a proper subset of some set S_i . In this case, there is no way to alter the list S_1, S_2, \dots, S_k so that the list maintains its relationship to all orderings of the sets T_j which have been considered prior to this step and were not exceptional. We declare T_{j+1} to be exceptional and put it aside until the remaining sets have been considered. It is possible that one of the succeeding sets will separate the elements of S_i in such a way that T_{j+1} is no longer a subset of any set S_i . On the other hand, if the minimum and maximum indices of the sets S_i which T_{j+1} intersects nontrivially are different, then changes are made in the list S_1, S_2, \dots, S_k . Let \min and \max denote these indices respectively. If $T_{j+1} \cap S_{\min}$ is a proper subset of S_{\min} , then $S_{\min} - T_{j+1}$ and $T_{j+1} \cap S_{\min}$ replace S_{\min} in the list in this order. Similarly, if $T_{j+1} \cap S_{\max}$ is a proper subset of S_{\max} , then $T_{j+1} \cap S_{\max}$ and $S_{\max} - T_{j+1}$ replace S_{\max} in the list in this order. The relationship of the list to all orderings of the elements of the first

$j+1$ sets which are not exceptional is preserved. After all of the sets T_j are considered on the first pass, each of the exceptional sets is reconsidered. The process which we have described above is repeated. If any exceptional sets remain after this pass, another complete iteration of the procedure is performed. Iterations of the exceptional sets are made until either no exceptional sets remain, a complete pass results in no exceptional sets T_j being successfully processed, or until an error condition occurs. If the latter occurs, the algorithm terminates.

If the iterative process terminates without an error being detected and with exceptional sets remaining, then recursive invocations of the algorithm are made to order the elements contained in the exceptional sets. More precisely, the set union $= \bigcup_i S_i$ is ordered by ordering the elements of each of the sets S_j . If S_j contains any exceptional sets $T_1^j, T_2^j, \dots, T_{k_j}^j$ the algorithm we have described above is used recursively to sequence the elements of the union of these sets. The elements of the set $S_j - \bigcup_i T_i^j$ are sequenced arbitrarily. The sequences of the sets S_j are then concatenated in the order of their indices. The exceptional sets which contain union $T_1^g, T_2^g, \dots, T_{k_g}^g$ are used to produce an ordering of the remaining elements by applying the algorithm to the

sets T_1^g -union, T_2^g -union, ... , T_k^g - union. This order is concatenated to the order of union produced above. If any of these recursive invocations of the ordering algorithm discovers that an order does not exist, then an error flag is set which is propagated to the initial invocation of the algorithm and the process terminates. We do not explore the calculation of all partial orderings of S although a straightforward modification of the code we give below will produce all such orderings.

We now give code in SETL for this process. The decisions which may be vaguely described above are precisely specified in this code. For the convenience of the reader, we detail the function of the principal routine and its prominent data structures

```
arrangelts(.)--argument is a collection of sets
result is a tuple which contains an ordering
of  $T_j$ , if one exists, the null tuple otherwise
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```
failflag - global failure flag which is set upon
discovering that no order exists
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```
listsets - tuple of sets which contains the sequence
 $S_1, S_2, \dots, S_k$ 
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```
/* set failflag to f prior to first invocation */
```

```
definef arrangelts(tset);
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```
/* failflag is global; tset contains the sets to be
made into intervals */
```

```
s1 from tset; listset=<s1>; union = nl;
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```
exceptsets=nl; insert= t;
```

```
/* exceptsets contains exceptional sets found on
current pass */
```

```

(while insert doing tset = exceptsets; exceptsets = nl;
  ( $\forall x \in$  tset)
  flow
    exceptg?
      inexcept
        onsmallend?
          onsmall+
            calcints
          onbig+
            calcints
        extraelts?
          calcints+
            nexcepts?
              conflict?
                inexcept
                  (failflag=t;
                    return nult;)
                  makinsert

exceptg:= x ge union or x*union eq nl ;
inexcept: x in exceptsets; continue  $\forall$  x;
extraelts:= x - union is xtraelts ne nl;
onsmallend:= x*listsets(1) ne nl and
             n x*listsets(#listsets) eq listsets(#listsets);
onsmall: listsets =  $\langle$ xtraelts $\rangle$  + listsets; union = union  $\langle$ xtraelts $\rangle$ ;
onbig: listsets = listsets +  $\langle$ xtraelts $\rangle$  ; union = union + xtraelts;
calcint: indicescov = { lset, lset  $\in$  listsets | lset*x eq lset } ;
          indicessub = { lset, lset  $\in$  listsets | lset*x ne nl } ;
          minm1 = ([min:y  $\in$  indicescov]y)-1;
          maxp1 = ([max: y  $\in$  indicessub]y) +1;
nexcepts:= indicescov eq nl and #indicessub eq 1;
/* x is a subset of some member of listsets
   and is therefore exceptional if above is t */
conflict:= n ( interval (indicescov) and
               indicessub lt (indicescov + { minm1, maxp1}));
/* if true then raise the error flag as no
   order exists */

```

```

makinsert:
  if (minm1 ∈ indicessub)
    then listsets = listsets(1:minm1-1) +
      <listsets(minm1)-x, listsets(minm1)*x> +
      listsets(minm1+1:);
    end if;

  if (maxp1 ∈ indicessub)
    then listsets = listsets(1:maxp1-1) +
      <listsets(maxp1)*x, listsets(maxp1)-x> +
      listsets(maxp1+1:);
    end if;

end flow;

end ∀x;

end while;

/* factor the exceptional sets which are larger than union */
grosssets = { x-union, x ∈ exceptsets | x gt union } ;
order = arrangelts(grosselts);
if ( failflag ) then return nult ;;
(∀ x ∈ listsets)
  exceptx = { y, y ∈ exceptsets | y lt x } ;
  order = order + maktup( x-exceptx ) + arrangelts(exceptx);
  if ( failflag ) then return nult ;;
end ∀ x;

/* if fall out, have successfully ordered the sets */
return order;

end arrangelts;

```

```

definef maktup(set);
/* makes tuples out of elements of set */
if ( set eq nl ) then return nult ;;
return [+: x ∈ set] <x>;
end maktup;

definef interval(setofintegers);
/* determines if input set is an interval */
if (#setofintegers le 1 ) then return t ;;
minset = [min, i ∈ setofintegers] i ;
maxset = [max, i ∈ setofintegers] i ;
return (setofintegers eq { i, minset ≤ i ≤ maxset });
end interval;

```