



**AFFIRM Type Library**  
Susan L. Gerhart, Editor



# **AFFIRM**

## **Type Library**

**Susan L. Gerhart, Editor**

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**Corresponds to AFFIRM Version 1.21**

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## The AFFIRM Reference Library

**AFFIRM** is an experimental interactive system for the specification and verification of abstract data types and programs. It was developed by the Program Verification Project at the USC Information Sciences Institute (ISI) for the Defense Advanced Research Projects Agency. The Reference Library is composed of five documents:

### Reference Manual

A detailed discussion of the major concepts behind **AFFIRM** presented in terms of the abstract machines forming the system's structure as seen by the user.

### Users Guide

A question-and-answer dialogue detailing the whys and wherefores of specifying and proving using **AFFIRM**.

### Type Library

A listing of several abstract data types developed and used by the ISI Program Verification Project. The data type specifications are maintained in machine-readable form as an integral part of the system.

### Annotated Transcripts

A series of annotated transcripts displaying **AFFIRM** in action, to be used as a sort of workbook along with the Users Guide and Reference Manual.

### Collected Papers

A collection of articles authored by members of the ISI Program Verification Project (past and present), as well as an annotated bibliography of recent papers relevant to our work.

## Program Verification Project Members

The USC/Information Sciences Institute Program Verification Project is headed by Susan L. Gerhart, with members Roddy W. Erickson, Stanley Lee, Lisa Moses, and David H. Thompson. Past project members include Raymond L. Bates, Ralph L. London, David R. Musser, David G. Taylor, and David S. Wile.

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Special dedication to Affirmed, the only race horse named after a verification system.

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# 1. Introduction

The **AFFIRM** Type Library is a collection of types developed and used extensively for some time by members of the ISI PV project. The Type Library is maintained as an integral part of the **AFFIRM** system. Its purpose is to

1. provide users, whether new or old, with ready-made well-constructed types to use for their own purposes, e.g., as the data types of a program to be verified;
2. provide models for development of additional types or further development of the existing types;
3. collect a good set of test cases for **AFFIRM** system maintenance and enhancement;
4. serve as examples of the range of concepts related to abstract data types, axiomatic specifications, rewrite rules, induction proofs, and data type interactions with programs; and
5. stimulate further research into the individual theories associated with each type, the structure of these theories, and the interaction between types and theories.

The material of the **AFFIRM** Type Library is referred to elsewhere in the Reference Manual and User's Guide, so the present manual will primarily archive and document the separate files stored in the library.

## 1.1. Data Types

The Users' Guide discusses the validity of data types. As far as we know now, there is nothing in the types provided here that would destroy their validity. We hope to formally establish this validity in a forthcoming **AFFIRM** memo.

The present volume is not necessarily comprehensive. The Type Library will undoubtedly be extended with more types or with more notation and lemmas. We'll try to record any changes in a separate file.

### 1.1.1. File Naming Conventions

A set of file name conventions has been established that allows the system to automatically access files containing type specifications via the needs command.

The Type Library is stored as a set of Tenex or Tops-20 files in a directory normally named <PVLIBRARY>. File names in the Tenex and Tops-20 operating systems consist of three parts: a name, an extension, and a version number. The file name conventions imposed in the type library are as follows:

1. The *name* field is always a type name.
2. The *extension* field is used to further qualify the contents of a file associated with a particular type. The extensions we have defined so far include: *empty*, COM, LISP, and AXIOMS. The preceding extensions are meaningful to the needs command, while the other names are purely conventions for organizing <PVLIBRARY>.
3. The *version* field is not usually explicitly mentioned.

The file organization and naming scheme will be keyed to the separate types as follows:

*typeName..*

(Here the extension field is empty.) The saved version of the type, i.e., the uncompiled version of the functions and environment created for a type.

*typeName.COM*

The compiled version of the *typeName*.

*typeName.AXIOMS*

The text version of axioms, in a form readable by **AFFIRM**. These are produced by print type *typeName* and then edited out of a transcript. Library Invariants (hopefully) are

Save(Read(*typeName.AXIOMS*)) = *typeName..*

print type *typeName*(read(*typeName.AXIOMS*)) = *typeName.AXIOMS*

The second invariant is not in general true, due to difficulties in precedence (See the discussion of *Idempotent I/O* in the **AFFIRM** Users' Guide.)

*typeName.SomethingNOTATION*

Notation and operations which extend *typeName*, but are neither common to the base type (if parameterized) nor properly considered part of the data type.

*typeName.LEMMAS*

A source (text) version of lemmas related to *typeName*. Maintained in the form "assume nm, expr; annotate nm, something". These can be read in and put on the proof structure as assumed and annotated. If not all lemmas are desired, then edit this file to include only those wanted immediately in the proof structure. Annotations were automatically added using the auto profile setting but were edited to refer to appropriate files.

#### *typeName.PROOFS*

Final proof structure of lemma proofs and the table of lemma usage.

#### *typeName.DOCUMENTATION*

Setup for the corresponding section in this document, including comments on the type, printable versions of the axioms, etc.

#### *typeName.CHANGES*

Summary of changes of the type after publication of the **AFFIRM** Reference Library.

### 1.1.2. Parameterization: The Instantiation Model

Parameterization of types is not automatically handled in **AFFIRM**. Therefore the structured types have been "relativized" to *ElemType*, a type with a minimally defined equality relation (there is one axiom that states the reflexive property). This type may be "instantiated" by editing references to *ElemType* to be the desired type. See the Users' Guide for a description of this process.

### 1.1.3. Exercises and Tutorials

One "lesson" has been prepared so far. See Chapter 3, but don't peek at the proofs until you have done them yourself.

## 1.2. Programs

The Type Library is also the initial repository for program proofs, which are organized as follows:

#### *ProgramName.PROGRAM*

The main program or procedure, ready to be readed.

#### *ProgramName.CONTEXT*

A source file of all the declarations, interfaces, axioms and definitions required for the proof of *ProgramName.PROGRAM*.

#### *ProgramName.LEMMAS*

The verification conditions and associated lemmas.

#### *ProgramName.PROOFS*

Printable file of the program, context, lemmas and VCs, and their proofs.

Since the programs are not considered standard, we have placed them in the Appendix. They may be of interest for the style of proof and syntax that we have found workable and advisable. Other examples of programs appear in **AFFIRM** memos [Thompson 80, Gerhart 80a, Wing 80, Lee 80, Gerhart 80b, Gerhart 80c] and in [Thompson 81].

## 2. DATA TYPES

### 2.1. ELEMTYPE

#### 2.1.1. Discussion

This is what you get as a "minimally specified" type, namely just a dummy variable and the reflexivity of equality axiom. Domain and Range are used later as minimally specified types for the mapping structure. The automatically declared variable, here *dummy*, may be set by a profile entry.

#### 2.1.2. Specification

```
type ElemType;  
  
declare dummy: ElemType;  
  
axiom dummy = dummy == TRUE;  
  
end {ElemType};
```

## 2.2. SEQUENCE

### 2.2.1. Discussion

The Sequence type is by far the best developed and most extensively used of all the types in the Type Library. The theory structure of Sequence is illustrated by the various lemmas (usually properties relating pairs of operations) and their pattern of usage.

It may be preferable to use Sequence rather than Queue for just this very reason. Remember that Stacks and Queues are just disciplines on adding and removing elements from Sequences, that is, just a subset of the possible operations on Sequences. Thus the theory of Stacks and Queues is a sub-theory (in some sense) of that of Sequences.

This data type also illustrates significant use of the Knuth-Bendix algorithm in generating appropriate rewrite rules for "normalizing" extender operations *apl* and *join* to *apr*. The current ordering of axioms requires no interaction with Knuth-Bendix but considerable experimentation was required to find this order.

Sequence also shows the different ways of specifying operations, define and axiom.

An often-used variation, SequenceOfInteger, is also maintained. See the SelectSort Program in Appendix I for examples of its use and for further notation.

There is one more Induction schema that usual, one which inducts on the front rather than the end of a sequence. We once thought this schema was useful and justified it via the standard (constructor) induction rule, but we have not found many uses for it recently. See the Users' Guide for a discussion of schemas.

### 2.2.2. Specification

To save space when several types are loaded, the specification has been broken into the basic operations on sequences, axioms for additional functions, and definitions for additional functions.

### 2.2.2.1. Basic Axioms

**type** SequenceOfElemType;

**needs type** ElemType;

**declare** dummy, ss, s, s1, s2: SequenceOfElemType;

**declare** k, ii, i, i1, i2, j: ElemType;

**interfaces** {Constructors} NewSequenceOfElemType, s apr i,  
 {Extenders} i apl s, seq(i), s1 join s2, LessFirst(s),  
 LessLast(s): SequenceOfElemType;

**infix** join, apl, apr;

**interfaces** isNew(s), FirstInduction(s), Induction(s), NormalForm(s), i in s: Boolean;

**infix** in;

**interfaces** First(s), Last(s): ElemType;

**interface** Length(s): Integer;

**axioms** dummy = dummy == TRUE,  
 NewSequenceOfElemType = s apr i == FALSE,  
 s apr i = NewSequenceOfElemType == FALSE,  
 s apr i = s1 apr i1 == ((s = s1) and (i = i1));

**axioms** i apl NewSequenceOfElemType == NewSequenceOfElemType apr i,  
 i apl (s apr i1) == (i apl s) apr i1;

**axiom** seq(i) == NewSequenceOfElemType apr i;

**axioms** NewSequenceOfElemType join s == s,  
 (s apr i) join s1 == s join (i apl s1);

**axiom** LessFirst(s apr i) == if s = NewSequenceOfElemType  
 then NewSequenceOfElemType  
 else LessFirst(s) apr i;

**axiom** LessLast(s apr i) == s;

**axiom** isNew(s) == (s = NewSequenceOfElemType);

**axioms**  $i \text{ in NewSequenceOfElemType} == \text{FALSE}$ ,  
 $i \text{ in } (s \text{ apr } i1) == (i \text{ in } s \text{ or } (i = i1));$

**axiom**  $\text{First}(s \text{ apr } i) == \text{if } s == \text{NewSequenceOfElemType}$   
**then**  $i$   
**else**  $\text{First}(s);$

**axiom**  $\text{Last}(s \text{ apr } i) == i;$

**axioms**  $\text{Length}(\text{NewSequenceOfElemType}) == 0,$   
 $\text{Length}(s \text{ apr } i) == \text{Length}(s) + 1;$

**rulelemmas**  $\text{NewSequenceOfElemType} = i \text{ apl } s == \text{FALSE},$   
 $i \text{ apl } s == \text{NewSequenceOfElemType} == \text{FALSE};$

**rulelemmas**  $s \text{ join } (s1 \text{ apr } i) == (s \text{ join } s1) \text{ apr } i,$   
 $s \text{ join } \text{NewSequenceOfElemType} == s,$   
 $(i \text{ apl } s1) \text{ join } s2 == i \text{ apl } (s1 \text{ join } s2),$   
 $(s \text{ join } (i \text{ apl } s1)) \text{ join } s2 == s \text{ join } (i \text{ apl } (s1 \text{ join } s2)),$   
 $s \text{ join } (s1 \text{ join } s2) == (s \text{ join } s1) \text{ join } s2;$

**rulelemma**  $\text{LessFirst}(i \text{ apl } s) == s;$

**rulelemma**  $\text{LessLast}(i \text{ apl } s) == \text{if } s == \text{NewSequenceOfElemType}$   
**then**  $\text{NewSequenceOfElemType}$   
**else**  $i \text{ apl } \text{LessLast}(s);$

**rulelemma**  $i \text{ in } (i1 \text{ apl } s) == (i \text{ in } s \text{ or } (i = i1));$

**rulelemma**  $\text{First}(i \text{ apl } s) == i;$

**rulelemma**  $\text{Last}(i \text{ apl } s) == \text{if } s == \text{NewSequenceOfElemType}$   
**then**  $i$   
**else**  $\text{Last}(s);$

**schemas**  $\text{FirstInduction}(s)$   
 $= = \text{cases}(\text{Prop}(\text{NewSequenceOfElemType}), \text{all } ss, ii ( \quad \text{IH}(ss)$   
 $\quad \quad \quad \text{imp } \text{Prop}(ii \text{ apl } ss))),$   
 $\text{Induction}(s)$   
 $= = \text{cases}(\text{Prop}(\text{NewSequenceOfElemType}), \text{all } ss, ii ( \quad \text{IH}(ss)$   
 $\quad \quad \quad \text{imp } \text{Prop}(ss \text{ apr } ii))),$   
 $\text{NormalForm}(s)$   
 $= = \text{cases}(\text{Prop}(\text{NewSequenceOfElemType}), \text{all } ss, ii (\text{Prop}(ss \text{ apr } ii)));$   
**end** {SequenceOfElemType} ;

### 2.2.2.2. Additional Axioms

See file SEQUENCEOFELEMTYPE.ADDLAXIOMS

**needs types** SequenceOfElemType, ElemType;

**declare** s, s1, s2: SequenceOfElemType;

**declare** i: ElemType;

**interfaces** dedup(s), reverse(s): SequenceOfElemType;

**interfaces** nodups(s), s1 subseq s2, s1 disjoint s2: Boolean;

**infix** subseq, disjoint;

**axioms** dedup(NewSequenceOfElemType) == NewSequenceOfElemType,  
dedup(s apr i) == if i in s  
then dedup(s)  
else dedup(s) apr i;

**axioms** reverse(NewSequenceOfElemType) == NewSequenceOfElemType,  
reverse(s apr i) == i apr reverse(s);

**axioms** nodups(s apr i) == (nodups(s) and ~(i in s)),  
nodups(NewSequenceOfElemType) == TRUE;

**axioms** s subseq NewSequenceOfElemType == (s == NewSequenceOfElemType),  
s1 subseq (s apr i)  
== ( (s1 == NewSequenceOfElemType) or s1 subseq s  
or LessLast(s1) subseq s and (Last(s1) == i));

**axioms** NewSequenceOfElemType disjoint s == TRUE,  
(s apr i) disjoint s1 == (s disjoint s1 and ~(i in s1));

### 2.2.2.3. Additional Definitions

See file SEQUENCEOFELEMTYPE.ADDLDEFNS

needs types SequenceOfElemType, ElemType;

declare s: SequenceOfElemType;  
declare k: Integer;

interfaces Rotate(s, k), Initial(s, k), LessInitial(s, k), deletepth(s, k)  
: SequenceOfElemType;

interface pth(s, k): ElemType;

define Rotate(s, k)  
= = if (s = NewSequenceOfElemType) or (k = 0)  
then s  
else if 1 <= k  
then Rotate(LessFirst(s) apr First(s), k-1)  
else Rotate(Last(s) apl LessLast(s), k + 1),

Initial(s, k)  
= = if (s = NewSequenceOfElemType) or (k <= 0)  
then NewSequenceOfElemType  
else First(s) apl Initial(LessFirst(s), k-1),

LessInitial(s, k)  
= = if (s = NewSequenceOfElemType) or (k <= 0)  
then s  
else LessInitial(LessFirst(s), k-1),

deletepth(s, k)  
= = if k <= 0  
then s  
else if k = 1  
then LessFirst(s)  
else First(s) apl deletepth(LessFirst(s), k-1),

pth(s, k)  
= = if k = 1  
then First(s)  
else pth(LessFirst(s), k-1);

### 2.2.3. Representation Functions

Many examples of representation function use appear in [Gerhart 81] and in the programs in Appendix II.

#### 2.2.3.1. From Mapping

```

declare a:MappingFromIntegerToElemType;
declare lb,ub,i:Integer;
declare x:ElemType;

interface rep(a, lb, ub):SequenceOfElemType;
define rep(a, lb, ub) = = if lb>ub then NewSequenceOfElemType
    else rep(a, lb, ub-1) apr (a sub ub);
define rep1(a, ub) = = if ub<=0 then NewSequenceOfElemType
    else rep1(a, ub-1) apr (a sub ub);

```

#### 2.2.3.2. From Queue

```

declare q:QueueOfElemType;
declare i:ElemType;
interface rep(q):SequenceOfElemType;
axioms rep(NewQueueOfElemType) = = NewSequenceOfElemType,
    rep(q Add i) = = rep(q) apr i;

```

#### 2.2.3.3. To Circle

```

declare s:SequenceOfElemType;
declare i:ElemType;
declare k:Integer;
interfaces rep1(s),rep2(s,k):CircleOfElemType;

```

*Two different ways of mapping sequences to circles are defined - with the pointer assumed to be at the front of the sequence and with it explicit as a parameter.*

```

axioms rep1(NewSequenceOfElemType) = = NewCircleOfElemType,
    rep1(s apr i) = = InsertLast(rep1(s),i);

define rep2(s,k) = = Rotate(s,k-1);

```

## 2.2.4. Lemmas

```
declare s,s1,s2,s3,s4:SequenceOfElemType;
declare p,k1,k2:Integer;
declare i:ElemType;
```

### *First and Last Lemmas*

**assume** LastSplit, isNew(s) or (LessLast(s) apr Last(s) = s);

**assume** FirstSplit, isNew(s) or (s = First(s) apl LessFirst(s));

### *Length Lemmas*

**assume** LengthLessLast, isNew(s) or (Length(LessLast(s)) = Length(s) - 1);

**assume** LengthLessFirst, isNew(s) or (Length(LessFirst(s)) = Length(s) - 1);

**assume** LengthNonNeg, Length(s)  $\geq$  0;

**assume** LengthNew, Length(s) = 0 eqv isNew(s);

**assume** LengthJoin, Length(s1 join s2) = Length(s1) + Length(s2);

### *Join Lemmas*

**assume** LastJoin, if isNew(s2)

```
then Last(s1 join s2) = Last(s1)
and LessLast(s1 join s2) = LessLast(s1)
else Last(s1 join s2) = Last(s2)
and LessLast(s1 join s2) = s1 join LessLast(s2);
```

**assume** FirstJoin, if isNew(s1)

```
then First(s1 join s2) = First(s2)
and LessFirst(s1 join s2) = LessFirst(s2)
else First(s1 join s2) = First(s1)
and LessFirst(s1 join s2) = LessFirst(s1) join s2;
```

**assume** SpecialJoin, s1 join s2 = s3

imp s join s3 = (s join s1) join s2;

**assume** apEq, i apl s1 = i apl s2 eqv s1 = s2;

**assume** join1steq, s join s1 = s join s2 imp s1 = s2;

**assume** join2ndeq, s1 join s = s2 join s imp s1 = s2;

**assume** join1stNew, s1 join s2 = s2 imp isNew(s1);

**assume** join2ndNew, s1 join s2 = s1 imp isNew(s2);

**assume** join2New, isNew(s1 join s2) eqv isNew(s1) and isNew(s2);

**assume** SplitAt1, ~isNew(s2)  
and ~isNew(s3)  
and (s1 = LessLast(s3)) and (s1 join s2 = s3 join s4)  
imp s4 = LessFirst(s2);

### *Initial and LessInitial Lemmas*

**assume** InitialFirstLast, (1 <= p) and (p <= Length(s))  
imp Jinitial(s, p-1)  
= LessLast(Initial(s, p))  
and LessInitial(s, p)  
= LessFirst(LessInitial(s, p-1));

**assume** InitialLessLast, (1 <= p) and (p <= Length(s))  
imp Initial(s, p-1) = LessLast(Initial(s, p));

**assume** LessInitialJoin, (0 <= p) and (p <= Length(s1) + Length(s2))  
imp LessInitial(s1 join s2, p)  
= if p <= Length(s1)  
then LessInitial(s1, p) join s2  
else LessInitial(s2, p - Length(s1));

**assume** InitialJoin, (0 <= p) and (p <= Length(s1) + Length(s2))  
imp Initial(s1 join s2, p)  
= if p <= Length(s1)  
then Initial(s1, p)  
else s1 join Initial(s2, p - Length(s1));

**assume** InitialLength, (0 <= p) and (p <= Length(s))  
imp Length(Initial(s, p)) = p  
and Length(LessInitial(s, p)) = Length(s) · p;

**assume** LengthInitial, 0 <= p  
imp Length(Initial(s, p)) = min(p, Length(s));

**assume** InitialOutOfBounds, p <= 0

imp isNew(Initial(s, p))  
 and LessInitial(s, p) = s  
 and p >= Length(s)  
 imp isNew(LessInitial(s, p))  
 and Initial(s, p) = s;

**assume** InitialSplit, Initial(s, p) join LessInitial(s, p) = s;

### *Rotate Lemmas*

**assume** RotateTwice, Rotate(Rotate(s, k1), k2) = Rotate(s, k1 + k2);

**assume** RotateNew, isNew(Rotate(s, p)) eqv isNew(s);

**assume** LengthRotate, Length(Rotate(s, p)) = Length(s);

**assume** RotateOverLength, p >= Length(s)  
 imp Rotate(s, p) = Rotate(s, p - Length(s));

**assume** RotateInitial, (0 <= p) and (p <= Length(s))  
 imp Rotate(s, p)  
 = LessInitial(s, p) join Initial(s, p);

### *Lemmas for pth*

**assume** pthOverJoin, (1 <= p) and (p <= Length(s1 join s2))  
 imp pth(s1 join s2, p)  
 = (if p <= Length(s1)  
 then pth(s1, p)  
 else pth(s2, p - Length(s1)))  
 and deletepth(s1 join s2, p)  
 = (if p <= Length(s1).  
 then deletepth(s1, p) join s2  
 else s1 join deletepth(s2, p - Length(s1)));

**assume** pthSplits, (1 <= p) and (p <= Length(s))  
 imp Initial(s, p-1) apr pth(s, p)  
 join LessInitial(s, p)  
 = s  
 and pth(s, p) = First(LessInitial(s, p-1))  
 and pth(s, p) = Last(Initial(s, p))  
 and deletepth(s, p)  
 = Initial(s, p-1) join LessInitial(s, p);

## 2.3. CIRCLE

### 2.3.1. Discussion

Very little use has been found for the circle type, except in the Josephus Circle example [Gerhart 81]. Like queues, its axioms are similar to sequences and its theory is therefore isomorphic to a sub-theory of sequences.

### 2.3.2. Specification

```

type CircleOfElemType;

needs type ElemType;

declare c, c', c1, c2, cc, dummy: CircleOfElemType;
declare i, i', i1, ii, j: ElemType;
declare k: Integer;

interfaces {Constructors} NewCircleOfElemType, InsertLast(c, i),
  {Extenders} InsertFirst(c, i), DeleteLast(c),
  DeleteFirst(c), RotateLeft(c), RotateRight(c), InsertCircle(c1, c2),
  Rotate(c, k): CircleOfElemType;

interfaces
  First(c), Last(c): ElemType;

interface Size(c): Integer;

interfaces
  i in c, isNew(c), Induction(c), NormalForm(c): Boolean;

infix in;

axioms
  dummy = dummy == TRUE,
  NewCircleOfElemType = InsertLast(c, i) == FALSE,
  InsertLast(c, i) = NewCircleOfElemType == FALSE,
  InsertLast(c, i) = InsertLast(c1, i1) == ((c = c1) and (i = i1));

axioms
  InsertFirst(NewCircleOfElemType, i) == InsertLast(NewCircleOfElemType, i),

```

InsertFirst(InsertLast(c, i), j) == InsertLast(InsertFirst(c, j), i);

**axioms**

DeleteLast(NewCircleOfElemType) == NewCircleOfElemType,  
DeleteLast(InsertLast(c, i)) == c;

**axioms**

DeleteFirst(NewCircleOfElemType) == NewCircleOfElemType,  
DeleteFirst(InsertLast(c, i))  
== if c == NewCircleOfElemType  
then c  
else InsertLast(DeleteFirst(c), i);

**axioms**

RotateLeft(NewCircleOfElemType) == NewCircleOfElemType,  
RotateLeft(InsertLast(c, i)) == InsertFirst(c, i);

**axioms**

RotateRight(NewCircleOfElemType) == NewCircleOfElemType,  
RotateRight(InsertLast(c, i))  
== InsertLast(if c == NewCircleOfElemType  
then c  
else InsertLast(DeleteFirst(c), i),  
First(InsertLast(c, i)));

**axioms**

InsertCircle(c, NewCircleOfElemType) == c,  
InsertCircle(c, InsertLast(c1, i)) == InsertLast(InsertCircle(c, c1), i);

**axiom** First(InsertLast(c, i)) == if c == NewCircleOfElemType  
then i  
else First(c);

**axiom** Last(InsertLast(c, i)) == i;

**axioms**

Size(NewCircleOfElemType) == 0,  
Size(InsertLast(c, i)) == Size(c) + 1;

**axioms**

i in NewCircleOfElemType == FALSE,  
i in InsertLast(c, i1) == ((i == i1) or i in c);

**axiom** isNew(c) == (c == NewCircleOfElemType);

**rulemmas**

```
InsertFirst(c, i) == NewCircleOfElemType == FALSE,
NewCircleOfElemType == InsertFirst(c, i) == FALSE;
```

```
rule lemma DeleteFirst(InsertFirst(c, i)) == c;
```

*Note that Rotate here differs from the bi-directional Rotate in SequenceOfElemtype. The definition can easily be changed to match.*

```
define Rotate(c, k)
  == if c == NewCircleOfElemType
      then NewCircleOfElemType
    else if k == 0
      then c
    else Rotate(RotateRight(c), k-1);
```

**schema**

```
Induction(c)
  == cases(Prop(NewCircleOfElemType),
    all cc, ii (IH(cc) imp Prop(InsertLast(cc, ii))));
```

```
NormalForm(c) == cases(Prop(NewCircleOfElemType), all cc, ii (Prop(InsertLast(cc, ii))));
```

```
end {CircleOfElemType} ;
```

## 2.4. QUEUE

### 2.4.1. Discussion

We omit lemmas for Queues which are, in fact, almost the same as sequences. See the earlier discussion of Sequences. Examples appear in [Thompson 81].

### 2.4.2. Specification

**type** QueueOfElemType;

**needs type** ElemType;

**declare** dummy, q, q1, q2, qq: QueueOfElemType;

**declare** i, i1, i2, ii: ElemType;

**interfaces** {*Constructors* NewQueueOfElemType, q Add i,  
{*Extenders*} Remove(q), Append(q1, q2), que(i)  
: QueueOfElemType;

**infix** Add;

**interfaces**

Front(q), Back(q): ElemType;

**interfaces**

NormalForm(q), Induction(q), i in q, isNew(q): Boolean;

**infix** in;

**axioms**

dummy = dummy == TRUE,  
q Add i = NewQueueOfElemType == FALSE,  
NewQueueOfElemType = q Add i == FALSE,  
q1 Add i1 = q2 Add i2 == ((q1 = q2) and (i1 = i2));

**axioms**

Remove(NewQueueOfElemType) == NewQueueOfElemType,  
Remove(q Add i)  
== if q = NewQueueOfElemType  
then q  
else Remove(q) Add i;

**axioms**

**Append**(q, NewQueueOfElemType) == q,  
**Append**(q, c1 Add i1) == **Append**(q, q1) Add i1;

**axiom** que(i) == NewQueueOfElemType Add i;

**axiom** Front(q Add i) == if q == NewQueueOfElemType  
 then i  
 else Front(q);

**axiom** Back(q Add i) == i;

**axioms**

i in NewQueueOfElemType == FALSE,  
 i in (q Add i1) == (i in q or (i = i1));

**axiom** isNew(q) == (q == NewQueueOfElemType);

**rulelemma** Append(NewQueueOfElemType, q) == q;

**schema**

NormalForm(q)  
 == cases(Prop(NewQueueOfElemType), all qq, ii (Prop(qq Add ii))),

Induction(q)  
 == cases(Prop(NewQueueOfElemType),  
 all qq, ii (IH(qq) imp Prop(qq Add ii)));

**end** {QueueOfElemType} ;

## 2.5. SET

### 2.5.1. Discussion

We have found it most convenient to define 'subset' and 'equal' rather than give them as rewriting rules. Sometimes two equivalent defines are wanted, here in terms of 'subset' and of eqv for qEqual.

Since equality and 'subset' are definitions, we have added rulelemmas for special cases where rewriting appears to be always desirable. Similar reasoning applies to special cases of commutativity.

### 2.5.2. Specification

```

type SetOfElemType;
needs type ElemType;

declare dummy, s, s1, s2, ss: SetOfElemType;
declare i, i1, i2, ii, x: ElemType;

interfaces {Constructors} NewSetOfElemType, s add x,
  {Extenders} s rem i, s diff s1, s int s1, s union s1, setof(x)
  : SetOfElemType;

infix add, diff, int, rem, union;

interfaces
  i in s, isNew(s), s subset s1, Induction(s), NormalForm(s), s1 disjoint s2,
  qEqual(s1, s2): Boolean;

infix in, subset;

interface Size(s): Integer;

axiom dummy = dummy == TRUE;

axioms
  NewSetOfElemType rem i == NewSetOfElemType,
  (s add x) rem i
  == if x = i
    then s rem i
    else (s rem i) add x;

```

**axioms**

```

NewSetOfElemType diff s == NewSetOfElemType,
(s add x) diff s1
  == if x in s1
    then s diff s1
    else (s diff s1) add x;

```

**axioms**

```

NewSetOfElemType int s1 == NewSetOfElemType,
(s add x) int s1
  == if x in s1
    then (s int s1) add x
    else s int s1;

```

**axioms**

```

NewSetOfElemType union s1 == s1,
(s add x) union s1 == (s union s1) add x;

```

**axioms**

```

x in NewSetOfElemType == FALSE,
i in (s add x) == ((i = x) or i in s);

```

**axiom** isNew(s) == (s = NewSetOfElemType);

**axiom** setof(x) == NewSetOfElemType add x;

**axioms**

```

Size(NewSetOfElemType) == 0,
Size(s add x) == if x in s
  then Size(s)
  else Size(s) + 1;

```

**rulelemmas**

```

NewSetOfElemType = s add i == FALSE,
s add i = NewSetOfElemType == FALSE;

```

**rulelemma** s diff NewSetOfElemType == s;

**rulelemma** s int NewSetOfElemType == NewSetOfElemType;

**rulelemma** s union NewSetOfElemType == s;

**rulelemmas**

```

NewSetOfElemType subset s == TRUE,
s subset NewSetOfElemType == (s = NewSetOfElemType);

```

rulelemma NewSetOfElemType disjoint s == TRUE;

define

s1 = s2 == (s1 subset s2 and s2 subset s1),

s subset s1

= = all x (x in s imp x in s1),

s1 disjoint s2

= = all x (x in s1 imp ~(x in s2)),

qEqual(s1, s2)

= = all x (x in s1 equiv x in s2);

schema

Induction(s)

= = cases(Prop(NewSetOfElemType), all ss, ii (IH(ss) imp Prop(ss add ii))),

NormalForm(s) = = cases(Prop(NewSetOfElemType), all ss, ii (Prop(ss add ii)));

end {SetOfElemType} ;

### 2.5.3. Lemmas

#### *in Lemmas*

**assume** inRemoval,  $x \in (A \text{ rem } y) \text{ eqv } x \in A \text{ and } (x \sim = y);$

**assume** inDifference,  $x \in (A \text{ diff } B) \text{ eqv } x \in A \text{ and } \sim(x \in B);$

**assume** inIntersection,  $x \in (A \text{ int } B) \text{ eqv } x \in A \text{ and } x \in B;$

**assume** inUnion,  $x \in (A \cup B) \text{ eqv } x \in A \text{ or } x \in B;$

#### *Size Lemmas*

**assume** SizeNonNeg,  $\text{Size}(A) \geq 0;$

**assume** SizeDifference,  $\text{Size}(A \text{ diff } B) = \text{Size}(A) - \text{Size}(A \text{ int } B);$

**assume** SizeUnion,  $\begin{aligned} \text{Size}(A \cup B) \\ = \text{Size}(A) + \text{Size}(B) - \text{Size}(A \text{ int } B); \end{aligned}$

#### *Intersection Lemmas*

**assume** IntersectionAssoc,  $A \text{ int } (B \text{ int } C) = (A \text{ int } B) \text{ int } C;$

**assume** IntCommutes,  $A \text{ int } B = B \text{ int } A;$

**assume** UnionOverInt,  $A \cup (B \text{ int } C) = (A \cup B) \text{ int } (A \cup C);$

#### *Union Lemmas*

**assume** UnionAssoc,  $A \cup (B \cup C) = (A \cup B) \cup C;$

**assume** IntOverUnion,  $A \text{ int } (B \cup C) = (A \text{ int } B) \cup (A \text{ int } C);$

**assume** unionadd,  $(A \cup B) \text{ add } x = A \cup (B \text{ add } x);$

**assume** unionremadd,  $\begin{aligned} x \in A \\ \text{imp } (B \cup (A \text{ rem } x)) \text{ add } x = B \cup A; \end{aligned}$

#### *Basic Equality Lemma*

**assume** qEqual,  $A = B \text{ eqv } \text{qEqual}(A, B);$

#### *Removal Lemmas*

**assume** remadd,  $x \in A \text{ imp } (A \text{ rem } x) \text{ add } x = A;$

*subset Lemmas*

**assume** subsetIntersection, A subset B imp (A int C) subset (B int C);

**assume** subsetUnion, A subset B imp (A union C) subset (B union C);

**assume** subsetDifference, A subset B imp (A diff C) subset (B diff C);

**assume** subsetTransitivity, A subset B and B subset C imp A subset C;

**assume** subsetUnion, A subset B imp (A union C) subset (B union C);

**assume** subsetDifference, A subset B imp (A diff C) subset (B diff C);

**assume** subsetTransitivity, A subset B and B subset C imp A subset C;

**assume** subsetUnionEqual, A subset B imp A union B = B;

**assume** subsetUnion, A subset B imp A subset (B union C);

**assume** subsetRemove, A subset (B rem x) eqv A subset B and  $\sim(x \in A)$ ;

**assume** subsetAdd, A subset B imp A subset (B add x);

*Difference Lemmas*

**assume** differenceIntersection, A diff B = A diff (A int B);

**assume** DifferenceRemove, A rem x = A diff setof(x);

**assume** subDiff, A subset (B diff C) eqv A subset B and A disjoint C;

*disjoint Lemmas*

**assume** disjointDifference, A disjoint B imp A diff B = A;

**assume** disjointIntersection, A disjoint B imp isNew(A int B);

## 2.6. MAPPING

### 2.6.1. Discussion

This data type is used to mimic a vector. Domain and Range are minimally specified types. Variations are maintained where Domain is Integer and Range. See the programs' uses of arrays as implementations of sequences. Also see type Sequence for the representation functions.

### 2.6.2. Specification

```

type MappingFromDomainToRange,
needs types Domain, Range;

declare a, b, dummy: MappingFromDomainToRange;
declare i, j, k: Domain;
declare x, y, z: Range;

interfaces {Constructors}
  NewMappingFromDomainToRange, assn(a, i, x): MappingFromDomainToRange;

interface Size(a): Integer;

interface a sub i: Range;

infix sub;

interfaces
  isNew(a), isdefinedfor(a, i), EqualDefined(a, b), EqualForDefined(a,b),
  Induction(a), NormalForm(a): Boolean;

axiom dummy = dummy == TRUE;

axioms
  Size(NewMappingFromDomainToRange) == 0,
  Size(assn(a, i, x))
    == if isdefinedfor(a, i)
      then Size(a)
      else Size(a) + 1;

axiom assn(a, i, x) sub j
  == if i=j

```

then x  
else a sub j;

**axiom** isNew(a) == (a = NewMappingFromDomainToRange);

**axioms**

isdefinedfor(NewMappingFromDomainToRange, i) == FALSE,  
isdefinedfor(assn(a, i, x), j)  
== ((i = j) or isdefinedfor(a, j));

**define**

a = b == (EqualDefined(a, b) and EqualForDefined(a, b)),

EqualDefined(a, b)  
== all i (isdefinedfor(a, i) eqv isdefinedfor(b, i)),

EqualForDefined(a, b)  
== all i ( isdefinedfor(a, i)  
imp a sub i = b sub i);

**schema**

Induction(b)  
== cases(Prop(NewMappingFromDomainToRange),  
all a, i, x (IH(a) imp Prop(assn(a, i, x)))),

NormalForm(b)  
== cases(Prop(NewMappingFromDomainToRange),  
all a, i, x (Prop(assn(a, i, x))));

**end** {MappingFromDomainToRange} ;

## 2.7. GRAPH

### 2.7.1. Discussion

The Graph type is one of the larger and more complex types in the Type Library, in part because it needs both the Set and Sequence types for various functions: it is not quite as "primitive" a type as Set or Sequence. Graph is not a completely general version of directed graphs: such notions as deleting edges from a graph or even equality of two graphs are not included, making this type (without extensions) not very suitable for general graph theory proofs. Graph is designed for "modelling" and verifying algorithms, such as scheduling or network-related algorithms, that use directed graphs explicitly or implicitly: algorithms that build up, over time, graphs recording dependency relationships, say, or the history of a multi-process operation.<sup>1</sup>

The base type is GraphOfElemType, in which nodes of the graph are of type ElemType and thus have no internal structure. As usual, most uses of Graph will require instantiating the base type to GraphOfYourNodeType.

Reachability is an important concept in reasoning about (directed) graphs; there are two kinds of reachability predicates in Graph. The *path* predicate simply indicates whether or not a path exists from one node to another.<sup>2</sup> Frequently it is necessary, in proving a graph theorem, to explicitly reason about a particular path between two nodes -- in Graph this is done with "path sequences" (of type Sequence), sequences of nodes linked together in the graph. The predicates included are:

*pathSeq(g, ps)*    Sequence ps is a path in graph g

*onPathSeq(g, ps, n)*  
                  node n in contained in path ps

*pathSeqFrom(g, ps, a, d)*  
                  path ps runs from nodes a (ancestor) to d (descendant)

*somePSF(g, a, d)* some path runs from a to d -- this is equivalent to *path(g, a, d)*<sup>3</sup>

---

<sup>1</sup>The Graph type was originally developed in trying to verify a network operating system file-consistency algorithm.

<sup>2</sup>Note that in Graph, all paths are of length 1 or more; thus *path(g, n, n)* is false unless the edge  $\langle n, n \rangle$  has been explicitly added to graph g, or some cycle of length  $\geq 2$  from n to n is present in g .

<sup>3</sup>See lemma *pathEqvSomePSF*.

## 2.7.2. Specification

**type** *GraphOfElemType*;

**needs types** *ElemType, SetOfElemType, SequenceOfElemType, Integer*;

**declare** *dummy, g, g', gg: GraphOfElemType;*  
**declare** *a, c, c', d, n, n1, n2, p, p': ElemType;*  
**declare** *nodeset: SetOfElemType;*  
**declare** *ps, ps1, ps2: SequenceOfElemType;*

### interfaces

{Constructors} *emptyG, addedge(g, p, c),*  
{Extenders} *addEdgesToNode(g, nodeset, c),*  
*addEdgesFromNode(g, nodeset, p): GraphOfElemType;*

### interfaces

*n in g, edgeln(g, p, c), leaf(g, n), noSons(g, n),*  
*path(g, a, d), dnpPath(g, a, d), pathSeq(g, ps),*  
*pathSeqFrom(g, ps, a, d), onPathSeq(g, ps, n),*  
*somePSF(g, a, d), g' extensionOf g, g' disjExtOf g, isEmptyG(g),*  
*Induction(g), NormalForm(g): Boolean;*

**infix** *disjExtOf, extensionOf, in;*

**interfaces** *nodes(g), leavesOf(g), sonsOf(g, n), parentsOf(g, n): SetOfElemType;*

### interfaces

*nodeCount(g), addedgeCount(g): Integer;*

### axioms

*dummy = dummy == TRUE,*  
*emptyG = addedge(g, p, c) == FALSE,*  
*addege(g, p, c) = emptyG == FALSE;*

### axioms

*addEdgesToNode(g, NewSetOfElemType, c) == g,*  
*addEdgesToNode(g, nodeset add p, c)*  
*= = addedge(addEdgesToNode(g, nodeset, c), p, c);*

### axioms

*addEdgesFromNode(g, NewSetOfElemType, p) == g,*  
*addEdgesFromNode(g, nodeset add c, p)*  
*= = addedge(addEdgesFromNode(g, nodeset, p), p, c);*

**axioms**

$n \text{ in } \text{emptyG} == \text{FALSE}$ ,  
 $n \text{ in } \text{addedge}(g, p, c)$   
 $\quad == ((n = p) \text{ or } (n = c) \text{ or } n \text{ in } g);$

**axioms**

$\text{edgeIn}(\text{emptyG}, p, c) == \text{FALSE}$ ,  
 $\text{edgeIn}(\text{addedge}(g, p, c), p', c')$   
 $\quad == \text{if } p = p'$   
 $\quad \text{then } (c = c') \text{ or } \text{edgeIn}(g, p', c')$   
 $\quad \text{else } \text{edgeIn}(g, p', c');$

**axioms**

$\text{leaf}(\text{emptyG}, n) == \text{FALSE}$ ,  
 $\text{leaf}(\text{addedge}(g, p, c), n)$   
 $\quad == ((n = c) \text{ or } n \text{ in } g) \text{ and } \text{noSons}(g, n)$   
 $\quad \text{and } n \sim = p);$

**axioms**

$\text{noSons}(\text{emptyG}, n) == \text{TRUE}$ ,  
 $\text{noSons}(\text{addedge}(g, p, c), n)$   
 $\quad == (\text{noSons}(g, n) \text{ and } (n \sim = p));$

**axioms**

$\text{pathSeq}(g, \text{NewSequenceOfElemType}) == \text{FALSE}$ ,  
 $\text{pathSeq}(g, ps \text{ apr } n)$   
 $\quad == \text{if } ps = \text{NewSequenceOfElemType}$   
 $\quad \text{then } n \text{ in } g$   
 $\quad \text{else } \text{pathSeq}(g, ps)$   
 $\quad \text{and } \text{edgeIn}(g, \text{Last}(ps), n);$

**axioms**

$\text{emptyG extensionOf } g == \text{FALSE}$ ,  
 $\text{addedge}(g', n1, n2) \text{ extensionOf } g$   
 $\quad == ((g' = g) \text{ or } g' \text{ extensionOf } g);$

**axioms**

$\text{emptyG disjExtOf } g == \text{FALSE}$ ,  
 $\text{addedge}(g', p, c) \text{ disjExtOf } g$   
 $\quad == (\sim(c \text{ in } g) \text{ and } ((g' = g) \text{ or } g' \text{ disjExtOf } g));$

**axioms**

$\text{nodes}(\text{emptyG}) == \text{NewSetOfElemType}$ ,  
 $\text{nodes}(\text{addedge}(g, p, c)) == (\text{nodes}(g) \text{ add } p) \text{ add } c;$

**axioms**

```

leavesOf(emptyG) == NewSetOfElemType,
leavesOf(addedge(g, p, c))
  == if leaf(g, p)
    then if noSons(g, c) and (c ~ = p)
      then (leavesOf(g) rem p) add c
      else leavesOf(g) rem p
    else if noSons(g, c) and (c ~ = p)
      then leavesOf(g) add c
      else leavesOf(g);
  
```

**axioms**

```

sonsOf(emptyG, n) == NewSetOfElemType,
sonsOf(addedge(g, p, c), a)
  == if a = p
    then sonsOf(g, a) add c
    else sonsOf(g, a);
  
```

**axioms**

```

parentsOf(emptyG, n) == NewSetOfElemType,
parentsOf(addedge(g, p, c), n)
  == if c = n
    then parentsOf(g, n) add p
    else parentsOf(g, n);
  
```

**axioms**

```

nodeCount(emptyG) == 0,
nodeCount(addedge(g, p, c))
  == if p in g
    then if c in g
      then nodeCount(g)
      else nodeCount(g) + 1
    else if c in g
      then nodeCount(g) + 1
      else nodeCount(g) + 2;
  
```

**axioms**

```

addedgeCount(emptyG) == 0,
addedgeCount(addedge(g, p, c)) == addedgeCount(g) + 1;
  
```

**rulelemma** pathSeq(emptyG, ps) == FALSE;

**rulelemma** isEmptyG(g) == (g = emptyG);

**define**

```

path(emptyG, a, d) == FALSE,
path(addedge(g, p, c), a, d)
  
```

```

== ( path(g, a, d)
  or  dnpath(g, a, p)
  and dnpath(g, c, d)),

dnpath(g, a, d) == ((a = d) or path(g, a, d)),

pathSeqFrom(g, ps, a, d)
== ( pathSeq(g, ps) and (2 <= Length(ps))
  and First(ps) = a
  and Last(ps) = d),

onPathSeq(g, ps, n) == (pathSeq(g, ps) and n in ps),

somePSF(g, a, d)
== some ps' (pathSeqFrom(g, ps', a, d));

schema
Induction(g)
== cases(Prop(emptyG),
  all g', p, c (~ IH(g')
    imp Prop(addedge(g', p, c))));

NormalForm(g)
== cases(Prop(emptyG), all g', p, c (Prop(addedge(g',
  p, c))));

end {GraphOfElemType} ;

```

### 2.7.3. Lemmas

#### *path Lemmas*

**assume** transpath,     path(g, a, d)  
     and path(g, d, n)  
     imp path(g, a, n);

**assume** pathInExtension,     g' extensionOf g  
     and path(g, n1, n2)  
     imp path(g', n1, n2);

**assume** pathInDisjExt,     n1 in g  
     and n2 in g  
     and g' disjExtOf g  
     and path(g', n1, n2)  
     imp path(g, n1, n2);

**assume** pathEndptsInGraph,     path(g, a, d)  
     imp d in g and a in g;

**assume** sourceDEpathInSub,     d in g'  
     and g disjExtOf g'  
     and path(g, a, d)  
     imp a in g';

#### *edgeIn Lemmas*

**assume** edgelnImpPath,     edgeln(g, a, d)  
     imp path(g, a, d);

**assume** pathEdgeln,     path(g, a, d)  
     and edgeln(g, d, n1)  
     imp path(g, a, n1);

#### *extensionOf Lemmas*

**assume** extensionOfIrreflexive,  $\sim(g \text{ extensionOf } g)$ ;

**assume** extensionOfAntiSymmetric,  $\sim(g' \text{ extensionOf } g \text{ and } g \text{ extensionOf } g')$ ;

**assume** addedgeCountExt, g extensionOf g' imp addedgeCount(g') < addedgeCount(g);

*leaf Lemmas*

**assume** leafEqv, leaf(g, n)  
 eqv n in g and noSons(g, n);

**assume** sonsOfLeaf, leaf(g, p)  
 eqv sonsOf(g, p) = NewSetOfElemType  
 and p in g;

**assume** noSonsEqv, noSons(g, n) eqv sonsOf(g, n) = NewSetOfElemType;

*parentsOf, sonsOf Lemmas*

**assume** parentsOfDisconnNode,  $\neg(n \text{ in } g)$   
 imp parentsOf(g, n) = NewSetOfElemType;

**assume** parentsOfAddToNode, parentsOf(addEdgesToNode(g,  
 nodeset, c),  
 c)  
 = parentsOf(g, c) union nodeset;

**assume** sonsOfAddFromNode, sonsOf(addEdgesFromNode(g, nodeset, p), p)  
 = sonsOf(g, p) union nodeset;

*pathSeq Lemmas*

**assume** pathSeqInExtension, pathSeq(g, ps) and g' extensionOf g  
 imp pathSeq(g', ps);

**assume** pathSeqLLast, pathSeq(g, ps) and ( $2 \leq \text{Length}(ps)$ )  
 imp pathSeq(g, LessLast(ps));

**assume** pathSeqJoin, pathSeq(g, ps)  
 and pathSeq(g, ps2)  
 and First(ps2) = Last(ps)  
 imp pathSeq(g, LessLast(ps) join ps2);

*somePSF (some pathSeqFrom) Lemmas*

**assume** pathEqvSomePSF, path(g, a, d)  
 eqv somePSF(g, a, d);

**assume** transSomePSF, somePSF(g, a, d)

```
and somePSF(g, d, n1)
imp somePSF(g, a, n1);

assume somePSFbasis, somePSF(addedge(g, a, d),
    a, d);

assume somePSFinExtension,      somePSF(g, a, d)
    and g' extensionOf g
    imp somePSF(g', a, d);
```

## 2.8. BINARYTREE

### 2.8.1. Discussion

A variation of this data type was used in the Josephus Circle problem [Gerhart 81] and in the Delta Experiment [Gerhart 79], but its theory has not been well explored with **AFFIRM**

### 2.8.2. Axioms

#### 2.8.2.1. Basic Axioms

```
declare bt, bt1, bt11, bt12, bt2, bt21, bt22, dummy: BinaryTreeOfElemType;
declare d, d1, d2: ElemType;
```

```
interfaces {Constructors} NewBinaryTreeOfElemType, Tree(bt1, bt2, d), Leaf(d),
{Extenders} left(bt), right(bt): BinaryTreeOfElemType;
```

```
interface datum(bt): ElemType;
```

```
interfaces
```

```
isleaf(bt), NormalForm(bt), isNew(bt), Induction(bt): Boolean;
```

```
interfaces
```

```
Depth(bt), Size(bt): Integer;
```

```
axioms
```

```
dummy = dummy == TRUE,
NewBinaryTreeOfElemType = Tree(bt1, bt2, d) == FALSE,
Tree(bt1, bt2, d) = NewBinaryTreeOfElemType == FALSE,
Tree(bt11, bt12, d1) = Tree(bt21, bt22, d2)
== ((d1 = d2) and (bt11 = bt21) and (bt12 = bt22));
```

```
axiom left(Tree(bt1, bt2, d)) == bt1;
```

```
axiom right(Tree(bt1, bt2, d)) == bt2;
```

```
axioms
```

```
datum(Leaf(d)) == d,
datum(Tree(bt1, bt2, d)) == d;
```

```
axioms
```

```
isleaf(NewBinaryTreeOfElemType) == FALSE,
isleaf(Leaf(d)) == TRUE,
```

isleaf(Tree(bt1, bt2, d)) == FALSE;

**axiomIII** isNew(bt) == (bt = NewBinaryTreeOfElemType);

### axioms

Depth(NewBinaryTreeOfElemType) == 0,  
Depth(Leaf(d)) == 1,  
Depth(Tree(bt1, bt2, d))  
== (if Depth(bt1) <= Depth(bt2)  
then Depth(bt2)  
else Depth(bt1)) + 1;

### axioms

Size(NewBinaryTreeOfElemType) == 0,  
Size(Leaf(d)) == 1,  
Size(Tree(bt1, bt2, d)) == Size(bt1) + Size(bt2) + 1;

### schema

NormalForm(bt)  
== cases(Prop(NewBinaryTreeOfElemType),  
all d (Prop(Leaf(d))),  
all bt1, bt2, d (Prop(Tree(bt1, bt2, d))));

Induction(bt)  
== cases(Prop(NewBinaryTreeOfElemType),  
all d (Prop(Leaf(d))),  
all d, bt1, bt2  
( IH(bt1) and IH(bt2)  
imp Prop(Tree(bt1, bt2, d))));

end {BinaryTreeOfElemType};

### 2.8.2.2. Additional Axioms

**type Basis;**

**needs types** BinaryTreeOfElemType, SequenceOfElemType, ElemType;

**declare** bt, bt1, bt2: BinaryTreeOfElemType;

**declare** d: ElemType;

**interfaces**

PreOrder(bt), PostOrder(bt), InOrder(bt): SequenceOfElemType;

**axioms**

PreOrder(NewBinaryTreeOfElemType) == NewSequenceOfElemType,

PreOrder(Leaf(d)) == NewSequenceOfElemType apr d,

PreOrder(Tree(bt1, bt2, d)) == d apl (PreOrder(bt1) join PreOrder(bt2));

**axioms**

PostOrder(NewBinaryTreeOfElemType) == NewSequenceOfElemType,

PostOrder(Leaf(d)) == NewSequenceOfElemType apr d,

PostOrder(Tree(bt1, bt2, d))

== (PostOrder(bt1) join PostOrder(bt2)) apr d;

**axioms**

InOrder(NewBinaryTreeOfElemType) == NewSequenceOfElemType,

InOrder(Leaf(d)) == NewSequenceOfElemType apr d,

InOrder(Tree(bt1, bt2, d)) == InOrder(bt1) join (d apl InOrder(bt2));

## 2.9. INTEGER

### 2.9.1. Discussion

This is far from the complete specification of Integer; numerous simplifications are automatically applied and the Normint algorithm may be user-invoked. See the Reference Manual and Users' Guide for more extensive discussion of the Integer type. The lemmas in the following section are occasionally required to make the rest of the Integer machinery work. AddSwitch rearranges integer expressions. LEAdd expresses summation over inequalities.

### 2.9.2. Specification

```

type Integer;

declare i1, i2, i3, ii: Integer;

interfaces
  i1 + i2, i1 - i2, i1 * i2, max(i1, i2), min(i1, i2), i1 / i2,
  EXPT(i1, i2), i1 mod i2, 1/i1, i1 div i2, -i1: Integer;

interfaces
  i1 > i2, i1 < i2, i1 <= i2, i1 >= i2, Induction(i1): Boolean;

axiom i1 = i1 == TRUE;

axiom max(i1, i2) == if i1 <= i2
  then i2
  else i1;

axiom min(i1, i2) == if i1 <= i2
  then i1
  else i2;

schema Induction(i1)
  == cases(Prop(0),
    all ii ( (ii <= 0) and IH(ii)
      imp Prop(ii-1)),
    all ii ( (0 <= ii) and IH(ii)
      imp Prop(ii+1)));

end {Integer};

```

### 2.9.3. Lemmas

declare k1,k2,k3,k4:Integer;

**assume** AddSwitch,  $k1 + k2 = k3 \text{ eqv } k1 = k3 - k2 \text{ and } k2 = k3 - k1$ ;

**assume** LEAdd,  $k1 <= k2 \text{ and } k3 <= k4 \text{ imp } k1 + k3 <= k2 + k4$ ;

## 3. LESSON

### 3.1. Discussion

The lesson is accessed by the command "read <pvlibrary>lesson.setup", which loads the needed types and notations and then reads the "theorems" which the learner is to prove. These exercises are somewhat repetitive, but cover the basic set of commands and provide a good feeling for **AFFIRM**'s data type induction capability. The user is reminded that not all the "theorems" may actually be such.

### 3.2. Notation

```
type LessonNotation;

needs types SequenceOfElemType, ElemType;

declare dummy: LessonNotation;
declare s, s1, s2: SequenceOfElemType;
declare i, j, k: ElemType;

interfaces
    deleteNonp(s), dedup(s), reverse(s): SequenceOfElemType;

interfaces
    nodups(s), s1 subseq s2, p(i), allp(s): Boolean;

infix subseq;

axiom dummy = dummy == TRUE;

axioms
    deleteNonp(NewSequenceOfElemType) == NewSequenceOfElemType,
    deleteNonp(s apr i)
        == if p(i)
            then deleteNonp(s) apr i
            else deleteNonp(s);

axioms
    dedup(NewSequenceOfElemType) == NewSequenceOfElemType,
    dedup(s apr i)
        == if i in s
            then dedup(s)
```

else dedup(s) apr i;

**axioms**

reverse(NewSequenceOfElemType) == NewSequenceOfElemType,  
reverse(s apr i) == i apl reverse(s);

**axioms**

nodups(s apr i) == (nodups(s) and ~(i in s)),  
nodups(NewSequenceOfElemType) == TRUE;

**axioms**

s subseq NewSequenceOfElemType == (s == NewSequenceOfElemType),  
s1 subseq (s apr i)  
== ( (s1 == NewSequenceOfElemType) or s1 subseq s  
or LessLast(s1) subseq s and (Last(s1) == i));

**axioms**

allp(NewSequenceOfElemType) == TRUE,  
allp(s apr i) == (p(i) and allp(s));

end {LessonNotation};

### 3.3. "Theorems"

*The following propositions may not all be theorems; that's part of what the lesson is teaching.*

**theorem** AllpDeNonp, allp(deleteNonp(s));

**theorem** NodupsDedup, nodups(dedup(s));

**theorem** DeNonpSubseq, deleteNonp(s) subseq s;

**theorem** DedupSubseq, dedup(s) subseq s;

**theorem** AllpDedup, allp(s) imp allp(dedup(s));

**theorem** NodupsDeNonp, nodups(s) imp nodups(deleteNonp(s));

**theorem** DeNonpJoin, deleteNonp(s1 join s2) = deleteNonp(s1) join deleteNonp(s2);

**theorem** AllpJoin, allp(s1 join s2) eqv allp(s1) and allp(s2);

note Notice the difference between the two **theorems**

AllpJoin and AllpJoinBad;

**theorem** AllpJoinBad, allp(s1 join s2) = allp(s1) and allp(s2);

**theorem** DedupDeNonp, dedup(deleteNonp(s)) subseq s and

allp(dedup(deleteNonp(s))) and

nodups(dedup(deleteNonp(s)));

**theorem** AllpReverse, allp(s) eqv allp(reverse(s));

**theorem** ReverseDedup, reverse(dedup(s)) = dedup(reverse(s));

**theorem** NodupsReverse, nodups(s) eqv nodups(reverse(s));

# Appendix I

## PROGRAMS

### I.1. Remove Blanks

This program removes all extra blanks, reducing a string of blanks to a single one, as in minimizing the space between a stream of words in a text. It is first proved that the program computes a function, Rembl, defined by axioms. Later various properties of Rembl are proved to establish that it does do something like removing blanks.

#### *PROGRAM*

```
program RemoveBlanks;
procedure RB(input:SequenceOfElemType; var output:SequenceOfElemType);
pre TRUE;
post output = Rembl(input');
var LastChar,ThisChar:ElemType;
begin
  if isNew(input) then output:= NewSequenceOfElemType
  else
    begin LastChar,input,output:= First(input),LessFirst(input),seq(First(input));
      maintain RemblInvariant(input',input,output,LastChar)
      while ~isNew(input) do
        begin
          ThisChar,input:= First(input), LessFirst(input);
          if ~(isBlank(LastChar) and isBlank(ThisChar)) then
            output,LastChar:= output apr ThisChar,ThisChar
        end;
    end;
end;
```

#### *CONTEXT*

```
declare input, input', input1, output, output', output1, output2, Rs, s, s',
  s1, s1', s1'', s2, s2', s2'', s3, s3', s3'', ss, ss', ss'', w1, w1', w2,
  w2': SequenceOfElemType;
declare Blank, LastChar, LastChar1, ThisChar, ThisChar1, i, i', ii, ii', ii'', j, j': ElemType;
declare k: Integer;

interface Rembl(input): SequenceOfElemType;

interfaces
  BL, pth(s, k): ElemType;
```

**interfaces**

RemblInvariant( $\text{input}'$ ,  $\text{input}$ ,  $\text{output}$ ,  $\text{ThisChar}$ ), isBlank( $i$ ),  
 $s_1 \sqsubseteq \text{seq } s_2$ , NoAdjacentBlanks( $s$ ), WordsIn( $w_1, w_2, s$ ), NoBlanks( $s$ ),  
 $\text{Nab}(s)$ , MatchBlanks( $s_1, s_2$ ), AllBlanks( $s$ ), MatchEndBlank( $s_1, s_2$ ),  
 $\text{MatchEndNonBlanks}(s_1, s_2)$ : Boolean;

**infix** subseq;

**interfaces**

RemoveBlanks, RB( $\text{input}$ ,  $\text{output}$ ): ProcedureCall;

*Rembl is the function, defined axiomatically, for removing blanks. It is proved that the program computes Rembl for its input.*

**axioms**

Rembl( $\text{NewSequenceOfElemType}$ ) = =  $\text{NewSequenceOfElemType}$ ,  
 Rembl( $s \text{ apr } i$ )  
 $= =$  if  $s \sim = \text{NewSequenceOfElemType}$   
 and isBlank( $\text{Last}(s)$ )  
 and isBlank( $i$ )  
 then Rembl( $s$ )  
 else Rembl( $s$ ) apr  $i$ ;

*The loop invariant for the program, RemblInvariant describes how the loop is computing Rembl. LessLast( $\text{output}$ ) is the initial part of Rembl( $\text{input}'$ ) and then Rembl remains to be computed for input relative to Last( $\text{output}$ ) being a blank or not. The program variable LastChar is maintained to be Last( $\text{output}$ ).*

**axiom** RemblInvariant( $\text{input}'$ ,  $\text{input}$ ,  $\text{output}$ ,  $\text{LastChar}$ )

$= =$  (  $\text{output} \sim = \text{NewSequenceOfElemType}$   
 and Rembl( $\text{input}'$ )  
 $= \text{LessLast}(\text{output}) \text{ join Rembl}(\text{Last}(\text{output})) \text{ apl } \text{input}$   
 and  $\text{LastChar} = \text{Last}(\text{output})$ );

*subseq is a standard function for sequences.*

**axioms**

$s \text{ subseq } \text{NewSequenceOfElemType}$  = = ( $s = \text{NewSequenceOfElemType}$ ),  
 $s_1 \text{ subseq } (s \text{ apr } i)$   
 $= =$  (  $(s_1 = \text{NewSequenceOfElemType}) \text{ or } s_1 \text{ subseq } s$   
 $\text{or } \text{LessLast}(s_1) \text{ subseq } s \text{ and } (\text{Last}(s_1) = i)$ );

**axioms**

NoBlanks( $\text{NewSequenceOfElemType}$ ) = = TRUE,  
 $\text{NoBlanks}(s \text{ apr } i)$  = = ( $\text{NoBlanks}(s)$  and  $\sim \text{isBlank}(i)$ );

*Nab* is an axiomatic version of *NoAdjacent Blanks*, used to make the proof easier.

### axioms

```
Nab(NewSequenceOfElemType) == TRUE,
Nab(s apr i)
== ( s == NewSequenceOfElemType
or Nab(s)
and isBlank(i) imp ~isBlank(Last(s)));
```

### axioms

```
AllBlanks(NewSequenceOfElemType) == TRUE,
AllBlanks(s apr i) == (isBlank(i) and AllBlanks(s));
```

### define

```
NoAdjacentBlanks(s)
== all s1, s2, i, j
( s1 join (i apr (j apr s2))
= s
and isBlank(i)
imp ~isBlank(j)),
```

*MatchBlanks* is used to express one of the important properties of *Rembl*, that except for other blanks where *Rembl*(*s*) has a blank, *Rembl*(*s*) and *s* are the same.

```
MatchBlanks(s1, s2)
== if s1 == NewSequenceOfElemType
then s2 == NewSequenceOfElemType
else if isBlank(Last(s1))
then MatchEndBlank(s1, s2)
else MatchEndNonBlanks(s1, s2),
```

```
MatchEndBlank(s1, s2)
== some w1, w2, s1', s2'
( LessLast(s1) == s1' join w1
and s2 == (s2' join w1) join w2
and NoBlanks(w1)
and AllBlanks(w2)
and MatchBlanks(s1', s2')),
```

```
MatchEndNonBlanks(s1, s2)
== some w1, s1', s2'
( s1 == s1' join w1
and s2 == s2' join w1
```

and NoBlanks(w1)  
 and MatchBlanks(s1', s2'));

### LEMMAS

#### Verification Conditions

*VC for empty input, bypassing the loop.*

**assume** RB # 1, isNew(input) **imp** NewSequenceOfElemType = Rembl(input);

*VC for exiting the loop.*

**assume** RB # 2, ~isNew(input)  
 and RemblInvariant(input,  
     input1, output2, LastChar1)  
 and isNew(input1)  
**imp** output2 = Rembl(input);

*VC for entering the loop.*

**assume** RB # 3, ~isNew(input)  
**imp** RemblInvariant(input,  
     LessFirst(input),  
     seq(First(input)), First(input));

*VC for traversing the loop after just reading a non-blank or with a blank not preceded by a blank.*

**assume** RB # 4, RemblInvariant(input',  
     input, output, LastChar)  
 and ~isNew(input)  
 and ~(isBlank(LastChar) and isBlank(First(input)))  
**imp** RemblInvariant(input',  
     LessFirst(input),  
     output apr First(input), First(input));

*VC for traversing the loop with two successive blanks.*

**assume** RB # 5, RemblInvariant(input',  
     input, output, LastChar)  
 and ~isNew(input)  
 and isBlank(LastChar)  
 and isBlank(First(input))  
**imp** RemblInvariant(input',  
     LessFirst(input), output, LastChar);

*Computes Lemma to link the procedure RB with any calls on it.*

**assume** computesRB, computes(RB(input, output), result(output1))  
 imp output1 = Rembl(input);

**assume** RB, verification(RB);

Properties of Rembl used as lemmas for VCs and as evidence of Rembl's correctness.

*The following property of Rembl says that a string ending with a blank leaves a blank when processed by Rembl. A corresponding property could be proved for the beginning of a string.*

**assume** EndsWithBlank, ~isNew(s) and isBlank(Last(s))  
 imp isBlank(Last(Rembl(s)));

*This property says that Rembl(s) and s match characters except for where Rembl(s) has a blank in which case s may have extra blanks.*

**assume** MatchBlanks, MatchBlanks(Rembl(s), s);

*Another property of Rembl states that there are no adjacent blanks in Rembl(s).*

**assume** NoAdjacentBlanks, NoAdjacentBlanks(Rembl(s));

*A little property of sequences needed for the above lemmas.*

**assume** BlankNew, isNew(s1 join s2)  
 eqv isNew(s1) and isNew(s2);

*A lemma proved to make NoAdjacentBlanks easier to prove. See the proofs for further explanation.*

**assume** NabEqv, Nab(s) eqv NoAdjacentBlanks(s);

*A weaker property than MatchBlanks, this says that at least Rembl(s) didn't add any characters because it is a subsequence of s.*

**assume** NoWordsAdded, Rembl(s) subseq s;

*A little property used to cover the starting case.*

**assume** FirstNonBlank, ~isBlank(i)

imp Rembl(i apl s)  
 = i apl Rembl(s);

*Here's a nice property that no non-blank character gets removed by Rembl.*

**assume** NonBlank, ~isBlank(i)

imp Rembl((s1 apr i) join s2)  
 = (Rembl(s1) apr i) join Rembl(s2);

*The Split lemmas are assumed, having been proved (trivially) in the type library.*

**assume** FirstSplit, isNew(s)

or First(s) apl LessFirst(s) = s;

**assume** LastSplit, isNew(s)  
or LessLast(s) apr Last(s) = s;

*A big property about any two adjacent characters in s and how they come out in Rembl(s).*

**assume** Rembl2adjacent, Rembl(((s1 apr i) apr j) join s2)

= if isBlank(j)  
then if isBlank(i)  
then Rembl((s1 apr i) join s2)  
else Rembl(s1 apr i)  
join Rembl(j apr s2)  
else Rembl(s1 apr i) apr j  
join Rembl(s2);

## I.2. Remove Duplicates

This program removes duplicate elements from a sequence by iterating left to right through the elements, omitting any element which has occurred previously in the sequence. The concrete data structure is an array with base index 1.

### PROGRAM

```
{This program REMOVES DUPLICATE ELEMENTS from the array V[1..vn]
producing the array W[1..wn] }
procedure remdup(V:MappingFromIntegerToElemType; vn:Integer;
var W:MappingFromIntegerToElemType;
var wn:Integer);

pre vn >= 0;
post wn >= 0 and rep(W,wn) = dedup(rep(V,vn));
var vp,vc:Integer;
begin
  vp := 1; wn := 0;
  {This loop has done dedup(rep(V[1..vp-1]))}
  maintain dedupInvariant(V, vp, vn, W, wn)
  while vp <= vn do
    begin
      vc := 1;
      {This loop is doing V[vp] in V[1..vp-1]}
      maintain dedupInvariant(V, vp, vn, W, wn) and inInvariant(V, vc, vp, vn)
      while V sub vc ~ = V sub vp do
        vc := vc + 1;
      if vc = vp then
        begin
          wn := wn + 1;
          W := assn(W, wn, V sub vp)
        end;
      vp := vp + 1
    end;
  end;
```

### CONTEXT

```
type remdupContext;

needs types MappingFromIntegerToElemType, SequenceOfElemType;

declare dummy: remdupContext;
declare i, j, k, vc, vn, vp, wn: Integer;
declare a, V, W: MappingFromIntegerToElemType;

interface rep(a, k): SequenceOfElemType;
```

**interfaces**

bounds(i, j, k), dedupInvariant(V, vp, vn, W, wn),  
 inInvariant(V, vc, vp, vn): Boolean;

**axiom** dummy = dummy == TRUE;

**define**

rep(a, k)  
 == if k <= 0  
 then NewSequenceOfElemType  
 else rep(a, k-1) apr (a sub k),

bounds(i, j, k) == ((i <= j) and (j <= k)),

dedupInvariant(V, vp, vn, W, wn)  
 == ( bounds(1, vp, vn + 1)  
 and bounds(0, wn, vp)  
 and rep(W, wn) = dedup(rep(V, vp-1))),

inInvariant(V, vc, vp, vn)  
 == ( bounds(1, vc, vp) and bounds(vc, vp, vn)  
 and ~((V sub vp) in rep(V, vc-1)));

**end** {remdupContext} ;

**LEMMAS**

**assume** remdup # 6,      dedupInvariant(V, vp, vn, W, wn)  
 and vp <= vn  
 and dedupInvariant(V, vp, vn, W, wn)  
 and inInvariant(V, vc3, vp, vn)  
 and V sub vc3 = V sub vp  
 and vc3 ~ = vp  
 imp dedupInvariant(V, vp + 1, vn, W, wn);

**assume** remdup # 5,      dedupInvariant(V, vp, vn, W, wn)  
 and inInvariant(V, vc, vp, vn)  
 and V sub vc ~ = V sub vp  
 imp dedupInvariant(V, vp, vn, W, wn)  
 and inInvariant(V, vc + 1, vp, vn);

**assume** remdup # 4,    dedupInvariant(V, vp, vn, W, wn) and (vp <= vn)  
 imp dedupInvariant(V, vp, vn, W, wn)  
 and inInvariant(V, 1, vp, vn);

```

assume remdup # 3,      dedupInvariant(V, vp, vn, W, wn)
    and vp <= vn
    and dedupInvariant(V, vp, vn, W, wn)
    and inInvariant(V, vc2, vp, vn)
    and V sub vc2 = V sub vp
    and vc2 = vp
imp dedupInvariant(V,
    vp + 1,
    vn,
    assn(W, wn + 1, V sub vp),
    wn + 1);

assume remdup # 2, vn >= 0 imp dedupInvariant(V, 1, vn, W, 0);

assume remdup # 1,      vn >= 0
    and dedupInvariant(V, vp1, vn, W2, wn2)
    and vn < vp1
imp (wn2 >= 0) and (rep(W2, wn2) = dedup(rep(V, vn))));

assume computesremdup,      vn >= 0
    and computes(remdup(V, vn, W, wn),
        result(W1, wn1))
imp some vn(some V(  wn1 >= 0
        and rep(W1, wn1)
        = dedup(rep(V, vn))));

assume remdup, verification(remdup);

assume repin, bounds(1, i, j) imp (a sub i) in rep(a, j);

assume repAssn, ~bounds(1, i, j)
imp rep(assn(a, i, x), j) = rep(a, j);

```

### I.3. SimpleSend

This program simulates a ridiculous message sending system. It is used in both the Annotated Transcripts and in the Users' Guide as an annotated example.

#### PROGRAM

```
program SendReceive;
{
This set of three procedures simulates an overly simple message-passing system. In SimpleSend,
messages are simply "picked" out of RemainingToBeSent, "sent" to ReceivedSoFar, then deleted
from RemainingToBeSent, which decreases from TotalToBeSent down to NewSetOfElemType. After
"send" the message is either received or lost. No checks or resends are made so the strongest
property we can prove about this program is that ReceivedSoFar is a subset of TotalToBeSent.
}

{
This procedure won't be proved, just left pending.
}
procedure pick(s:SetOfElemType; var it:ElemType);
pre s~ = NewSetOfElemType;
post it in s';
;

{
Nor will this procedure be proved, only assumed. Note that the use of 'or' gives us a kind of
non-determinism.
}
procedure send(it:ElemType; var rec:SetOfElemType);
pre TRUE;
post rec = rec' add it' or rec = rec';
;

{
Here's the little procedure which simulates sending and receiving messages.
}
procedure SimpleSend(TotalToBeSent:SetOfElemType;
                     var ReceivedSoFar:SetOfElemType);
pre TRUE;
post ReceivedSoFar subset TotalToBeSent';

var NextToSend:ElemType;
var RemainingToBeSent : SetOfElemType;
begin
  RemainingToBeSent := TotalToBeSent;
  ReceivedSoFar := NewSetOfElemType;

  maintain ReceivedSoFar subset TotalToBeSent
    and RemainingToBeSent subset TotalToBeSent
  while RemainingToBeSent~ = NewSetOfElemType do
```

```
begin
  pick(RemainingToBeSent, NextToSend);
  send(NextToSend, ReceivedSoFar);
  RemainingToBeSent := RemainingToBeSent rem NextToSend;
end;
end;
```

## 1.4. A Sorting Algorithm

The program implements the common Selection Sort, where the largest element is found and then moved to the top of the array along with the previously sorted elements. The assertions show that the program computes a recursively defined function, SelectSort, and other lemmas (SelectSortSorts) then show that the Ordering and Permutation properties hold. Considerable notation is developed about the representation function, aspects of ordering and permutation, and sequences.

### PROGRAM

```

procedure Sort(var A:MappingFromIntegerToInteger; lb,ub:Integer);
pre lb <= ub;
post Ordered(rep(A,lb,ub)) and Permutation(rep(A,lb',ub'), rep(A',lb',ub'));
var NextToSort,NextToCompare,MaxCompared:Integer;
begin
  NextToSort := ub;
  maintain SoFarSorted(A,A',lb,ub,NextToSort)
  while NextToSort > lb do
    begin
      NextToCompare,MaxCompared := NextToSort-1,NextToSort;
      maintain SoFarSorted(A,A',lb,ub,NextToSort) and
        SoFarCompared(A,lb,NextToCompare,NextToSort,MaxCompared)
      while NextToCompare >= lb do
        NextToCompare,MaxCompared := NextToCompare-1,
          if A sub MaxCompared < (A sub NextToCompare)
            then NextToCompare else MaxCompared;
        A,NextToSort := Swap(A,NextToSort,MaxCompared),NextToSort-1
      end;
    end;
end;

```

### CONTEXT

```

type SortNotation;

needs types MappingFromIntegerToInteger, SequenceOfInteger;

declare dummy: SortNotation;
declare A, A', A'', A1, A2: MappingFromIntegerToInteger;
declare diff, i, i', ii, ii', IL, Index1, k, k1, k1', k2, k2', k3, lb, lb', MaxCompared,
  MaxCompared', MaxCompared1, MaxCompared2, NextToCompare, NextToCompare1,
  NextToCompare2, NextToSort, NextToSort1, ub, ub', x, x', x'', y, y': Integer;
declare s, s', s'', s1, s1', s1'', s2, s2', ss, ss', sss: SequenceOfInteger;

interfaces
  rep(A, lb, ub), SelectSort(s), SS(s), SL(s, s1, x), SwapLargest(s),
  DeleteLastOcc(s, x): SequenceOfInteger;

```

**interfaces**

```

SoFarSorted(A, A', lb, ub, k1), Permutation(s, s1), Ordered(s), Dominates(s,
x),
SoFarCompared(A, lb, NextToCompare, NextToSort, MaxCompared),
RightmostOcc(A, lb, ub, x, k1), bd(lb, k, ub), bd2(lb,
k1, k2, ub), DominatesSplit(s),
SomeSplit(s): Boolean;

```

**interface** Swap(A, k1, k2): MappingFromIntegerToInteger;

**interface** Occs(s, x): Integer;

**interface** Sort(A, lb, ub): ProcedureCall;

**axiom** dummy = dummy == TRUE;

**axioms**

```

Ordered(NewSequenceOfInteger) == TRUE,
Ordered(s apr x)
== if Ordered(s)
    then (s = NewSequenceOfInteger) or (Last(s) <= x)
    else Last(s) <= x;

```

**axioms**

```

Dominates(s apr i, x) == (Dominates(s, x) and (i <= x)),
Dominates(NewSequenceOfInteger, x) == TRUE;

```

**axioms**

```

Occs(NewSequenceOfInteger, x) == 0,
Occs(s apr y, x)
== if x = y
    then Occs(s, x) + 1
    else Occs(s, x);

```

**axioms**

```

DeleteLastOcc(NewSequenceOfInteger, x) == NewSequenceOfInteger,
DeleteLastOcc(s apr i, x)
== if i = x
    then s
    else DeleteLastOcc(s, x) apr i;

```

**define**

```

rep(A, lb, ub)
== if ub < lb

```

```

then NewSequenceOfInteger
else rep(A, lb, ub-1) apr (A sub ub),

SelectSort(s) == if s == NewSequenceOfInteger
    then s
    else SS(SwapLargest(s)),

SS(s) == SelectSort(LessLast(s)) apr Last(s),

SL(s, s1, x)
== if (x < Last(s)) and Dominates(s, Last(s))
    then (LessLast(s) join (x apl s1)) apr Last(s)
    else SL(LessLast(s), Last(s) apl s1, x),

SwapLargest(s)
== if Dominates(s, Last(s))
    then s
    else SL(LessLast(s), NewSequenceOfInteger, Last(s)),

SoFarSorted(A, A', lb, ub, NextToSort)
== ( (lb <= NextToSort) and (NextToSort <= ub)
and SelectSort(rep(A', lb, ub))
= SelectSort(rep(A, lb, NextToSort))
join rep(A, NextToSort + 1, ub)),

Permutation(s, s1)
== all x' (Occs(s, x') = Occs(s1, x')),

SoFarCompared(A, lb, NextToCompare, NextToSort, MaxCompared)
== ( (lb <= NextToCompare + 1) and (NextToCompare < MaxCompared)
and RightmostOcc(A, lb, NextToSort, A sub MaxCompared, MaxCompared)
and MaxCompared <= NextToSort
and Dominates(rep(A, NextToCompare + 1, NextToSort), A sub MaxCompared)),

Swap(A, k1, k2) == assn(assn(A, k1, A sub k2),
k2, A sub k1),

RightmostOcc(A, lb, ub, x, k1)
== ( (x = A sub k1) and (lb <= k1) and (k1 <= ub)
and ~(x in rep(A, k1 + 1, ub))), 

bd(lb, k, ub) == ((lb <= k) and (k <= ub)),

bd2(lb, k1, k2, ub) == ( bd(lb, k1, ub)
or bd(lb, k2, ub)),

DominatesSplit(s)
== some x, s1, s2
( Dominates(s, x) and (s1 join (x apl s2) = s)

```

```

and ~(x in s2));
end {SortNotation} ;

```

### **LEMMAS**

#### *Sequence Lemmas*

```

assume LastIn, isNew(s) or Last(s) in s;
assume Length0, Length(s) = 0 eqv isNew(s);
assume Length1, Length(s) = 1 imp seq(Last(s)) = s;
assume LastSplit, isNew(s) or (LessLast(s) apr Last(s) = s);
assume LengthNonNeg, Length(s) >= 0;
assume LengthLessLast, isNew(s) or (Length(LessLast(s)) = Length(s) - 1);

```

#### *Dominates Lemmas*

```

assume DominatesIn, x in s and Dominates(s, y) imp x <= y;
assume DominatesSplit, isNew(s) or DominatesSplit(s);
assume DominatesNotIn, Dominates(s, x) and (y > x) imp ~(y in s);
assume DominatesJoin, Dominates(s, x) and Dominates(s1, x)
eqv Dominates(s join s1, x);
assume DominatesExtend, Dominates(s, x) and (x < y) imp Dominates(s, y);

```

#### *Permutation Lemmas*

```

assume Permin, i in s and Permutation(s1, s) imp i in s1;
assume PermLength, Permutation(s1, s) imp Length(s1) = Length(s);
assume PermSame, Permutation(s, s);

```

**assume** PermCommutes, Permutation(s, s1)  $\equiv$  Permutation(s1, s);

**assume** PermLessLast,  $\neg$ isNew(s) and Permutation(s1, LessLast(s))  
     imp Permutation(s1 apr Last(s), s);

**assume** PermTransitivity, Permutation(s, s1) and Permutation(s1, s2)  
     imp Permutation(s, s2);

**assume** OrderedPermutation, Ordered(s) and Permutation(s, s1) and Dominates(s1, x)  
     imp Ordered(s apr x);

### *SwapLargest Lemmas*

**assume** DominatesSwapLargest, isNew(s) or Dominates(SwapLargest(s), Last(SwapLargest(s)));

**assume** SwapLargestDominates, isNew(s) or Dominates(LessLast(SwapLargest(s)), Last(SwapLargest(s)));

**assume** LengthSwapLargest, isNew(s) or (Length(SwapLargest(s)) = Length(s));

**assume** PermSwapLargest, isNew(s) or Permutation(SwapLargest(s), s);

**assume** SwapLargestSplit, Dominates((s1 apr x) join s2, x)  
     and  $\neg(x \in s2)$  and  $\neg$ isNew(s2)  
     imp SwapLargest((s1 apr x) join s2)  
         = ((s1 apr Last(s2)) join LessLast(s2)) apr x;

**assume** SLSplit, Dominates((s1 apr x) join s2, x)  
     and  $\neg(x \in s2)$  and  $\neg$  isNew(y)  
     imp SL((s1 apr x) join s2, s, y)  
         = (((s1 apr y) join s2) join s) apr x;

### *Occs Lemmas*

**assume** OccsJoin, Occs(s1 join s2, x)  
     = Occs(s1, x) + Occs(s2, x);

**assume** inOccs, x in s  $\equiv$  Occs(s, x)  $\sim$  0;

**assume** OccsNonNeg, Occs(s, x)  $\geq$  0;

*SelectSort Lemmas*

**assume** SelectSort1, Length(s) = 1 **imp** SelectSort(s) = s;

**assume** SelectSortSorts, Ordered(SelectSort(s)) and Permutation(SelectSort(s), s);

*DeleteLastOcc Lemmas*

**assume** LengthDeleteLastOcc, Length(DeleteLastOcc(s, i))  
     = if i in s  
         then Length(s) - 1  
         else Length(s);

**assume** OccsDeleteLastOcc, Occs(DeleteLastOcc(s, i), x)  
     = if i ~ = x  
         then Occs(s, x)  
         else if i in s  
             then Occs(s, i) - 1  
             else 0;

**assume** PermutationLastOcc, Permutation(s1, s apr i)

**imp** Permutation(DeleteLastOcc(s1, i), s);

*Rep Lemmas*

**assume** rep1, rep(A, lb, ub) = seq(A sub lb);

**assume** SwapCommutes, Swap(A, k1, k2) = Swap(A, k2, k1);

**assume** Swap1, Swap(A, k1, k1) sub k = A sub k;

**assume** Lengthrep, lb <= ub  
**imp** Length(rep(A, lb, ub)) = (ub-lb) + 1;

**assume** repSplitap1, lb <= ub  
**imp** rep(A, lb, ub)

```

= (A sub lb) apl rep(A, lb + 1, ub);
assume repSplit, (lb <= k1) and (k1 <= ub)
    imp rep(A, lb, k1)
        join rep(A, k1 + 1, ub)
    = rep(A, lb, ub);

assume repNew, isNew(rep(A, lb, ub)) imp ub < lb;

assume lemma1OfrepSwap, ~bd2(lb, k1, k2, ub)
    imp rep(Swap(A, k1, k2), lb, ub)
    = rep(A, lb, ub);

assume repSwap, ~ (lb <= k1) and (k1 <= ub)
    or (lb <= k2) and (k2 <= ub)
    imp rep(Swap(A, k1, k2), lb, ub)
    = rep(A, lb, ub);

```

VCS

```

assume ExitSortLoop, lb <= ub
    and SoFarSorted(A2, A, lb, ub, NextToSort1)
    and NextToSort1 <= lb
    imp Ordered(rep(A2, lb, ub))
        and Permutation(rep(A2, lb, ub),
            rep(A, lb, ub));

assume TraverseCompareLoop, SoFarSorted(A,
    A', lb, ub, NextToSort)
    and SoFarCompared(A,
        lb,
        NextToCompare, NextToSort, MaxCompared)
    and NextToCompare >= lb
    imp SoFarSorted(A,
        A', lb, ub, NextToSort)
    and SoFarCompared(A,
        lb,
        NextToCompare - 1,
        NextToSort,
        if A sub MaxCompared
        < A sub NextToCompare
        then NextToCompare
        else MaxCompared);

```

```

assume ExitCompareLoop, SoFarSorted(A,
    A', lb, ub, NextToSort)
    and NextToSort > lb
    and SoFarSorted(A,

```

```
A', lb, ub, NextToSort)
and SoFarCompared(A,
lb, NextToCompare2, NextToSort
, MaxCompared2)
and NextToCompare2<lb
imp SoFarSorted(Swap(A, NextToSort, MaxCompared2),
A', lb, ub, NextToSort-1);

assume EnterCompareLoop, SoFarSorted(A,
A', lb, ub, NextToSort)
and NextToSort>lb
imp SoFarSorted(A,
A', lb, ub, NextToSort)
and SoFarCompared(A,
lb,
NextToSort-1, NextToSort,
NextToSort);

assume EnterSortLoop, lb <= ub imp SoFarSorted(A,
A, lb, ub, ub);
```

## Appendix II PVLIBRARY

These are the files that should be in PVLIBRARY on every machine at all times. Version numbers will probably differ. Please report missing files via a gripe.

PS:<PVLIBRARY>  
AFFIRMTRANSCRIPT.PRS.1  
ANNOTA.LIB.2  
BINARYTREEOFELEMTYPE..1  
.ADDLAXIOMS.1  
.AXIOMS.1  
.COM.1  
.DOCUMENTATION.2  
CIRCLEOFELEMTYPE..1  
.AXIOMS.1  
.COM.1  
.DOCUMENTATION.2  
DEMO-HANDBOOK.ELEMTYPE-NOTES.3  
.INTRODUCTION.3  
.MSS.12  
.SEQUENCE-NOTES.8  
.TITLE-PAGE.7  
ELEMTYPE..3  
.COM.4  
.DOCUMENTATION.3  
FOO..1,2  
GRAPHOFELEMTYPE..1  
.AXIOMS.1  
.DOCUMENTATION.6  
.LEMMAS.1  
HEADER.MSS.5,6  
INTEGER.DOCUMENTATION.2  
LESSON.DOCUMENTATION.4  
.GREETING.3  
.NOTATION.6  
.PROOFS.1  
.SETUP.5  
.THEOREMS.4  
.TRANSCRIPT.1  
MAPPINGFROMDOMAINTORANGE..1  
.AXIOMS.7  
.COM.1

.DOCUMENTATION.1  
QUEUEOFELEMTYPE..1  
.AXIOMS.3  
.COM.1  
.DOCUMENTATION.2  
REFERE.LIB.1  
REMOVEBLANKS.CONTEXT.1  
.DOCUMENTATION.1  
.PROGRAM.1  
.PROOFS.1  
REMOVEDUPLOCATES.CONTEXT.1  
.DOCUMENTATION.1  
.PROGRAM.1  
.PROOFS.1  
SELECTSORT.CONTEXT.1  
.DOCUMENTATION.2  
.PROGRAM.1  
.PROOFS.1  
SEQUENCEOFELEMTYPE..9  
.ADDLAXIOMS.1  
.ADDLDEFNS.1  
.AXIOMS.6  
.COM.3  
.DOCUMENTATION.4  
.LEMMAS.2  
.PROOFS.1  
SEQUENCEOFINTEGER..1  
.COM.4  
SETOFELEMTYPE..2  
.AXIOMS.5,6  
.COM.3  
.DOCUMENTATION.1  
.LEMMAS.1  
.PROOFS.1  
SIMPLESEND.DOCUMENTATION.1  
.PROGRAM.7  
TYPE-LIBRARY.AUX.4  
.INTRODUCTION.4  
.MSS.5  
TYPES.EXE.1  
XDTHEL.FON.1  
XDTMAN.LIB.2,3  
XDTNON.FON.3,4  
-[SAVE-]..2,3

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